STATEMENT OF EMERGENCY

201 KAR 35:070E

This emergency administrative regulation amendment is being promulgated to address an imminent threat to public health, safety, or welfare caused by the shortage of qualified counselors available to treat individuals during the current substance abuse crisis. Further, this emergency amendment is being promulgated to meet an imminent deadline imposed by state statute, namely SB 191. SB 191, signed by the Governor on April 24, 2020, and effective on March 1, 2021, amends KRS 309.080 to 309.089 to create two (2) additional credentials for drug and alcohol counseling. These credentials are administered and enforced by the Kentucky Board of Alcohol and Drug Counselors ("Board"). Among other things, KRS 309.0813, as amended by SB 191, requires the Board to promulgate administrative regulations to administer and enforce the new credentials. This statutory change required the amendment of nine (9) regulations and nineteen (19) forms. The Board has been diligently working on these regulatory amendments and forms, since the passage of SB 191. This emergency amendment will allow the Board to certify applicants for the two (2) new credentials created by SB 191 and regulate them in compliance with the statute effective March 1, 2021. An ordinary administrative regulation is not sufficient, because of the imminent effective date of SB 191. This emergency administrative regulation will be replaced by an ordinary administrative regulation. The ordinary administrative regulation is identical to this emergency regulation.

Tim Cesario, LCADC

Chair, Kentucky Board of Alcohol and Drug

Counselors

Andy Beshear, Governor

Commonwealth of Kentucky

- 1 GENERAL GOVERNMENT CABINET
- 2 Board of Alcohol and Drug Counselors
- 3 (Emergency Amendment)
- 4 201 KAR 35:070E. Supervision experience.
- 5 RELATES TO: KRS 309.0814, 309.083(4), 309.0831, 309.0832, 309.0833, 309.0841,
- 6 309.0842
- 7 STATUTORY AUTHORITY: KRS 309.0813(1), (3), (5), 309.0814(1), 309.083(3),
- 8 309.0831(3), 309.0832(10), 309.0833(2), 309.086
- 9 NECESSITY, FUNCTION, AND CONFORMITY: KRS 309.0813(1) requires the board
- 10 to promulgate administrative regulations for the administration and enforcement of KRS 309.080
- to 309.089. KRS 309.0813(3) requires the board to approve or disapprove those persons who shall
- be credentialed. This administrative regulation establishes the standards for the accumulation of
- 13 required supervised work experience.
- Section 1. (1)(a) Peer Support Specialist Supervision. Peer support specialist supervision
- shall continue throughout the period of registration. The supervision shall include the four (4)
- 16 following domains:
- 17 1. Advocacy;
- 18 2. Ethical Responsibility;
- 19 3. Mentoring and Education; and
- 4. Recovery and Wellness Support.

| 1 | (b) A supervisor of a peer support specialist shall complete and submit KBADC Form 8, | |
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| 2 | Peer Support Specialist Verification of Supervision, that documents the twenty-five (25) hours of | |
| 3 | direct supervision. | |
| 4 | (2) Clinical Supervision for Certification and Licensure Applicants. Clinical supervision | |
| 5 | shall [eonsist of at least 300 hours and shall] include a minimum of ten (10) hours in each of the | |
| 6 | following <u>four (4) domains[twelve (12) core functions</u>]: | |
| 7 | (a) <u>Screening assessment and engagement[Screening]</u> ; | |
| 8 | (b) Treatment planning, collaboration, and referral[Intake]; | |
| 9 | (c) Counseling; and [Client orientation]; | |
| 10 | (d) Professional and ethical responsibilities[Assessment]; | |
| 11 | (e) Treatment planning; | |
| 12 | (f) Counseling; | |
| 13 | (g) Case management; | |
| 14 | (h) Crisis intervention; | |
| 15 | (i) Client education; | |
| 16 | (j) Referral; | |
| 17 | (k) Reports and recordkeeping; and | |
| 18 | (l) Consultation]. | |
| 19 | (3) Clinical supervision shall meet the minimum requirements of the following: | |
| 20 | (a) For applicants with a high school diploma or high school equivalency diploma requires | |
| 21 | 300 hours of clinical supervision with a minimum of ten (10) hours in each domain listed in | |
| 22 | subsection (2); | |

| 1 | (b) For applicants with an associate's degree in a relevant field requires 250 hours of |
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| 2 | clinical supervision with a minimum of ten (10) hours in each domain; |
| 3 | (c) For applicants with an bachelor's degree in a relevant field requires 200 hours of clinical |
| 4 | supervision with a minimum of ten (10) hours in each domain; and |
| 5 | (d) For applicants with an master's degree or higher in a relevant field requires 100 hours |
| 6 | of clinical supervision with a minimum of ten (10) hours in each domain. |
| 7 | (4)(a) Clinical supervision may occur in individual or in group settings. |
| 8 | (b) The methods of clinical supervision include: |
| 9 | 1. Face-to-face; |
| 10 | 2. Video conferencing; or |
| 11 | 3. Teleconferencing. |
| 12 | [(4) A minimum of 200 hours of clinical supervision shall be conducted face-to-face in an |
| 13 | individual or group setting.] |
| 14 | (5) Supervision that exceeds two (2) hours in a single day shall be accompanied by a written |
| 15 | explanation justifying the length of supervision exceeding two (2) hours. |
| 16 | (6) Clinical supervisors shall complete and submit KBADC Form 13, Verification of |
| 17 | Clinical Supervision, which documents the <u>required[300]</u> hours of supervision that has occurred |
| 18 | during the work experience, in the Application for Certification as an Alcohol and Drug Counselor, |
| 19 | Application for Licensure as a Clinical Alcohol and Drug Counselor Associate, or Application for |
| 20 | Licensure as a Clinical Alcohol and Drug Counselor, which are incorporated by reference in 201 |
| 21 | KAR 35:020. |
| 22 | (7)[(6)] For applicants applying for licensure who already possess a certified alcohol and |
| 23 | drug counselor credential[If the applicant qualifies for licensure], supervision obtained under KRS |

- 309.083 prior to February 5, 2016 shall be calculated toward the $\underline{100[300]}$ hour supervision requirement under KRS 309.0832(3)[$\underline{(10)}$] and section (3)(d) of this regulation.
 - Section 2. Except as provided by Section 1(6) of this administrative regulation, a supervisory arrangement shall have the prior approval of the board, with both supervisor and supervisee submitting a Supervisory Agreement to the board. The supervisor and supervisee shall also submit to the board the description of the supervisory arrangement or a change in the supervisory arrangement at least thirty (30) days prior to the effective date of the arrangement or change unless extenuating circumstances prevent the submission [the thirty (30) day requirement].
- 9 Section 3. (1) All supervision requirements shall:

- (a) Be met with face-to-face individual or group weekly contact between supervisor and supervisee except as provided in subsection (2) of this section and Sections 13 and 14 of this administrative regulation;
- (b) Consist of not less than two (2) hours, two (2) times a month in the practice of alcohol and drug counseling; and
 - (c) Include additional supervision sessions, as needed.
- (2) An alternative format of supervision, including two (2) way interactive video, may be substituted for the supervisory contact, required by subsection (1) of this section, upon specific approval by the board for certain types of circumstances, such as distance, weather, or serious injury or illness of the supervisor or supervisee.
- (3) Upon a change of supervisor, a new plan for supervision shall be submitted by the supervisor and supervisee to the board for approval. This plan may require additional hours of supervision than was previously approved by the board.

1 (4) Upon termination of the supervisor-supervisee relationship, the final report of supervision shall be submitted to the board within thirty (30) days of the termination.

- Section 4. (1)(a) A certified alcohol and drug counselor or licensed clinical alcohol drug counselor shall submit a Form 4, Request to Provide Supervision, to become approved by the board to provide supervision.
 - (b) A certified alcohol and drug counselor who has at least two (2) years of post-certification experience, including Alcohol and Drug Counselor credentials transferred through reciprocity, and has attended the board-sponsored supervision training may be approved by the board to provide supervision[or licensed clinical alcohol and drug counselor who has been approved by the board as a supervisor shall attend a board approved training session in supervisory practices within twelve (12) months of obtaining approval as a supervisor].
 - (c) A licensed clinical alcohol and drug counselor who has at least twelve (12) months of post-licensure experience, including Advanced Alcohol and Drug Counselor credentials transferred through reciprocity, or has attended the board-sponsored supervision training.
 - (2) A board approved supervisor shall obtain a minimum of three (3) continuing education hours in supervision theory or techniques in each three (3) year renewal cycle. The board shall suspend its approval of a supervisor if the supervisor does not complete the required continuing education.
 - (3) A certified alcohol and drug counselor or licensed clinical alcohol and drug counselor shall not be the supervisor of record for more than <u>twenty-five (25)[twelve (12)]</u> supervisees.
- (4) A licensed clinical alcohol and drug counselor associate shall only be supervised by a licensed clinical alcohol and drug counselor.

- Section 5. (1) The supervisor shall make all reasonable efforts to be assured that each supervisee's practice is in compliance with this administrative regulation.
 - (2) The supervisor shall report to the board an apparent violation of KRS 309.086 on the part of the supervisee.

- (3) The supervisor shall inform the board immediately of a change in the ability to supervise or in the ability of a supervisee to function in the practice of alcohol and drug counseling in a competent manner.
 - (4) The supervisor shall control, direct, or limit the supervisee's practice to insure that the supervisee's practice of alcohol and drug counseling is competent.
 - (5) The supervisor of record shall be responsible for the practice of alcohol and drug counseling or peer support services provided by the supervisee. If the board receives a complaint[initiates an investigation] concerning a supervisee, the board shall notify the supervisor of record[investigation shall include the supervisor of record].
 - (6) For each <u>certificate or license holder[person]</u> supervised, the supervisor shall maintain a KBADC Form 13, Verification of Clinical Supervision, for each supervisory session that shall include the <u>domain covered</u>, date of session, length of session, and method of supervision[type, place, and general content] of the session. For each registrant supervised, the supervisor shall maintain a KBADC Form 8, Peer Support Specialists Verification of Supervision Form, for each supervisory session that shall include the date, length, method, and domain covered during the session. This record shall be maintained for a period of not less than six (6) years after the last date of supervision.

- Section 6. (1) The supervisor of record shall submit the Supervisor Log for each supervisee 1 2 to the board on an annual basis with a KBADC Form 14, Supervision Annual Report or as directed 3 otherwise by the board. 4 (2) The report shall include: 5 (a) A description of the frequency, format, and duration of supervision; 6 (b) An assessment of the functioning of the supervisee, including the strengths and 7 weaknesses; and 8 (c) Other information which may be relevant to an adequate assessment of the practice of 9 the supervisee. 10 Section 7. (1) If a supervisee has more than one (1) board-approved supervisor, the 11 supervisors shall be in direct contact with each other at least once every six (6) months, and they 12 shall provide supervisory plans and reports to the board and copies to each other. 13 (2) A request to have more than two (2) supervisors at one (1) time shall require a written 14 request to the board, which shall include detailed information as to how the supervisors shall 15 communicate and coordinate with each other in providing the required supervision. 16 Section 8. If the supervisee is a licensed clinical alcohol and drug counselor associate, [or 17 an applicant for a certificate as a temporary certified alcohol and drug counselor, certified alcohol 18 and drug counselor associate I, or certified alcohol and drug counselor associate II, the supervisor 19 of record shall:
 - of the supervisee to render alcohol and drug services;

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(2) Review progress notes and correspondence on a regular basis to assess the competency

(1) Review all alcohol and drug assessments and treatment plans;

| 1 | (3) Jointly establish with the supervisee a supervisory plan that shall be submitted to the | | |
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| 2 | board and approved within thirty (30) days of the beginning of the supervisory relationship. The | | |
| 3 | plan shall: | | |
| 4 | (a) Be updated and revised, as needed, and submitted to the board annually; | | |
| 5 | (b) Include intended format and goals to be accomplished through the supervisory process; | | |
| 6 | and | | |
| 7 | (c) Include methods that the supervisor and supervisee shall employ to evaluate the | | |
| 8 | supervisory process; | | |
| 9 | (4) At least semi-annually, have direct observation of the supervisee's work, which may be | | |
| 10 | accomplished through audiotaping, video camera, videotaping, one (1) way mirror, or as | | |
| 11 | cotherapist; | | |
| 12 | (5) Have direct knowledge of the size and complexity of the supervisee's caseload; | | |
| 13 | (6) Limit and control the caseload, as appropriate, to the supervisee's level of competence; | | |
| 14 | (7) Have knowledge of the therapeutic modalities and techniques being used by the | | |
| 15 | supervisee; | | |
| 16 | (8) Have knowledge of the supervisee's physical and emotional well-being if it has a direct | | |
| 17 | bearing on the supervisee's competence to practice; and | | |
| 18 | (9) Submit a completed KBADC Form 7, Supervision Evaluation, within thirty (30) days | | |
| 19 | of termination of a [peer support special] supervisory agreement. | | |
| 20 | Section 9. If the supervisee is a peer support specialist, the supervisor of record shall: | | |
| 21 | (1) Jointly establish with the supervisee a supervisory plan that shall be submitted to the | | |
| 22 | board and approved within thirty (30) days of the beginning of the supervisory relationship. The | | |
| 23 | plan shall: | | |

| 1 | (a) Be updated and revised, as needed, and submitted to the board annually; | |
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| 2 | (b) Include intended format and goals to be accomplished through the supervisory process: | |
| 3 | and | |
| 4 | (c) Include methods that the supervisor and supervisee shall employ to evaluate the | |
| 5 | supervisory process; | |
| 6 | (2) Review and countersign all peer recovery service plans; | |
| 7 | (3) Review peer recovery notes and correspondence on an as-needed basis to assess the | |
| 8 | competency of the supervisee to render peer recovery services; | |
| 9 | (4) At least once every two (2) months, have direct observation of the supervisee's work, | |
| 10 | which may be accomplished through audiotaping, video camera, videotaping, one (1) way mirr | |
| 11 | or direct observation; | |
| 12 | (5) Have direct knowledge of the size and complexity of the supervisee's caseload; | |
| 13 | (6) Limit and control the caseload, as appropriate, to the supervisee's level of competence: | |
| 14 | (7) Have knowledge of the methods and techniques being used by the supervisee; | |
| 15 | (8) Have knowledge of the supervisee's physical and emotional well-being if it has a direct | |
| 16 | bearing on the supervisee's competence to practice; and | |
| 17 | (9) Submit a completed KBADC Form 9, Supervision Evaluation for Peer Support | |
| 18 | Specialist, within thirty (30) days of termination of a peer support special supervisory agreement. | |
| 19 | Section 10. (1) The supervisee shall: | |
| 20 | (a) Keep the supervisor adequately informed at all times of his or her activities and ability | |
| 21 | to function; and | |
| 22 | (b) Seek consultation from the supervisor, as needed, in addition to a regularly-scheduled | |
| 23 | supervisory session. | |

1 (2) The supervisee shall:

- 2 (a) Participate with the supervisor in establishing supervisory goals and in completing the regular supervisory reports;
- (b) Be jointly responsible with the supervisor for ensuring that a supervisory report or plan
 has been sent to the board, in accordance with the reporting schedule established in Section 6(1)
 of this administrative regulation; and
- 7 (c) Report to the board an apparent violation on the part of the supervisor.
 - (3) Except as provided in Section 11 of this administrative regulation, a supervisee shall not continue to practice alcohol and drug counseling or peer support services if:
 - (a) The conditions for supervision set forth in the supervisory agreement are not followed;
 - (b) There is a death or serious illness of the board-approved supervisor that results in the supervisor not being able to provide supervision; or
 - (c) The supervisory agreement is terminated by the board, the board-approved supervisor, or the supervisee for any reason other than the extenuating circumstances that allow temporary supervision in Section 11 of this administrative regulation.
 - Section 11. Temporary Supervision. (1) In extenuating circumstances, if a supervisee is without supervision, the supervisee may continue working up to sixty (60) calendar days under the supervision of a qualified mental health provider as defined by KRS 202A.011(12), a certified alcohol and drug counselor, or a licensed clinical alcohol and drug counselor while an appropriate board-approved supervisor is sought and a new supervisory agreement is submitted to the board. Extenuating circumstances include situations such as death or serious illness of the board-approved supervisor, a leave of absence by the supervisor, the termination of the supervisor's employment,

- or termination of the supervisory agreement except for a violation of KRS 309.080 to 309.089, or
- 2 201 KAR Chapter 35.
- 3 (2)(a) Within ten (10) days of the establishment of the temporary supervisory arrangement,
- 4 the supervisee shall notify the board of the extenuating circumstances that have caused the
- 5 supervisee to require temporary supervision.
- 6 (b) The supervisee shall submit, in writing, a plan for resolution of the situation within
- 7 thirty (30) calendar days of the establishment of the temporary supervisory arrangement.
- 8 (c) The written plan shall include:
- 9 1. The name of the temporary supervisor;
- 2. Verification of the credential held by the temporary supervisor;
- 3. An email address and a postal address for the temporary supervisor and the supervisee;
- 12 and
- 4. A telephone number for the temporary supervisor.
- 14 (3) The temporary supervisory arrangement shall expire after sixty (60) days of the
- 15 establishment of the temporary supervisory arrangement.
- 16 (4) To avoid the expiration of a temporary supervisory arrangement:
- 17 (a) A temporary alcohol and drug counselor shall submit a completed KBADC Form 3,
- 18 Supervisory Agreement; or
- 19 (b) A peer support specialist shall submit a completed KBADC Form 6, Peer Support
- 20 Specialist Supervisory Agreement.
- 21 Section 12. Identification of Provider and Supervisor of Record. The actual deliverer of a
- service shall be identified to the client, and the client shall be informed of the deliverer's credential
- and name of supervisor of record. [A billing for a rendered service shall identify which service

1 was performed by the registered alcohol and drug peer support specialist, applicant as a certified 2 alcohol and drug counselor, licensed clinical alcohol and drug counselor associate, or other 3 provider who is supervised by the board approved supervisor of record. 4 Section 13. Supervision of a Disciplined Credential Holder. (1) The board shall appoint an 5 approved supervisor to supervise a disciplined credential holder for the period of time defined by 6 the board and a member of the board to serve as a liaison between the board and the appointed 7 supervisor. 8 (2) The disciplined credential holder shall be responsible for paying the fee for supervision. 9 (3) The supervisor shall have completed the board-sponsored [approved] training course in 10 supervision. 11 (4) The supervisor shall: 12 (a) Review the originating complaint, agreed order, or findings of the disciplinary hearing; 13 (b) Meet with the disciplined credential holder and the board liaison to: 14 1. Summarize the actions and concerns of the board; 15 2. Review the goals and expected outcomes of supervision submitted by the board liaison; 16 3. Develop a specific plan of supervision approved by the board; and 17 4. Review the reporting requirements that shall be met during the period of supervision; 18 (c) Meet with the disciplined credential holder at least weekly, on an individual face-to-19 face basis for a minimum of one (1) hour unless modified by the board;

in compliance with KRS 309.080 to 309.089, and 201 KAR Chapter 35;

information relevant to the need for board-mandated supervision;

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(d) Submit a quarterly report to the board which reflects progress, problems, and other

(e) Make all reasonable efforts to insure that the disciplined credential holder's practice is

| 1 | (f) Report to the board any apparent violation on the part of the disciplined credential |
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| 2 | holder; |
| 3 | (g) Immediately report to the board in writing a change in the ability to supervise, or in the |

- (g) Immediately report to the board in writing a change in the ability to supervise, or in the ability of the disciplined credential holder to function in the practice of peer recovery support or the practice of alcohol and drug[substance use disorders] counseling in a competent manner;
- 6 (h) Review and countersign assessments, as needed or appropriate;

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- 7 (i) Review and countersign service or treatment plans, as needed or appropriate;
- 8 (j) Have direct observation of the disciplined credential holder's work on an as-needed 9 basis;
 - (k) Have direct knowledge of the size and complexity of the disciplined credential holder's caseload;
 - (l) Have knowledge of the therapeutic methods, modalities, or techniques being used by the disciplined credential holder; and
 - (m) Have knowledge of the disciplined credential holder's physical and emotional wellbeing if it has a direct bearing on the disciplined credential holder's competence to practice.
 - (5) The supervisor shall control, direct, or limit the disciplined credential holder's practice to ensure that the disciplined credential holder's practice is competent.
 - (6) The supervisor shall contact the board liaison with any concern or problem with the disciplined credential holder, his or her practice, or the supervision process.
 - (7) A final meeting shall be scheduled within thirty (30) days of the end of the established supervision period to summarize the supervision. The meeting shall include the supervisor, disciplined credential holder, and board liaison. A written summary of the supervision shall be

- submitted by the supervisor to the board two (2) weeks following this meeting with a copy to the
- 2 board liaison.
- 3 Section 14. [Graduate Students in Programs Emphasizing Substance Use Disorders
- 4 Counseling. Graduate-level students in programs that emphasize alcohol and drug counseling who
- 5 are providing services in health care settings that provide alcohol and drug counseling including
- 6 independent practice settings shall:
- 7 (1) Be supervised by a licensed clinical alcohol and drug counselor or certified alcohol and
- 8 drug counselor;
- 9 (2) Be registered for practicum credit on the transcript in his or her course of study;
- 10 (3) Clearly identify their status as unlicensed trainees in the field of alcohol and drug
- 11 counseling to all clients and payors;
- 12 (4) Give to all clients and payors the name of the supervising licensed clinical alcohol and
- 13 drug counselor or certified alcohol and drug counselor responsible for the student's work; and
- 14 (5) Not accept employment or placement to perform the same or similar activities following
- 15 the completion of their university-sanctioned placement, regardless of the job title given, unless
- 16 the student holds a certificate or license from the board.
- 17 <u>Section 15.</u>] Incorporation by Reference. (1) The following material is incorporated by
- 18 reference:
- 19 (a) "KBADC Form 3, Supervisory Agreement", March 2021[June 2015];
- 20 (b) "KBADC Form 4, Request[ReOcquest] to Provide Supervision", March 2021[June
- 21 2015];
- 22 (c) "KBADC Form 6, Peer Support Specialist Supervisory Agreement", March 2021[June
- 23 2015];

- 1 (d) "KBADC Form 7, Supervision Evaluation", March 2021[June 2015];
- 2 (e) "KBADC Form 8, Peer Support Specialist Verification of Supervision", March
- 3 <u>2021</u>[June 2015];
- 4 (f) "KBADC Form 9, Supervision Evaluation for Peer Support Specialist", March
- 5 <u>2021[September 2017];</u>
- 6 (g) "KBADC Form 13, Verification of Clinical Supervision", March 2021[June 2015]; and
- 7 (h) "KBADC Form 14, Supervision Annual Report", March 2021[June 2015].
- 8 (2) This material may be inspected, copied, or obtained, subject to applicable copyright
- 9 law, at the Kentucky Board of Alcohol and Drug Counselors, 500 Mero St, 2 SC 32[911 Leawood
- Drive], Frankfort, Kentucky, Monday through Friday, 8 a.m. to 4:30 p.m. The board's Web site
- 11 <u>address is: https://adc.ky.gov.</u>

201 KAR 35:070E READ AND APPROVED:

February 25, 2021

Tim Cesario Date

Chair, Kentucky Board of Alcohol and Drug Counselors

PUBLIC HEARING AND PUBLIC COMMENT PERIOD

A public hearing on this administrative regulation shall be held at 9:00 AM on April 26, 2021 at 500 Mero Street, 127CW, Frankfort, Kentucky 40601. In the event the building is not open to the public on April 26, 2021, including if the declared State of Emergency in Executive Order 2020-215 and the State of Emergency Relating to Social Distancing in Executive Order 2020-243 are not rescinded by April 26, 2021, this hearing will be held by video teleconference, in which event members of the public wishing to attend may utilize the following link:

Join from PC, Mac, Linux, iOS or Android:

https://us02web.zoom.us/j/83379565231?pwd=VUF1MThJYTZnbnA1WmxMZEt0RCtyZz09

Password: 930689

Or Telephone:

Dial:

USA 713 353 0212

USA 8888227517 (US Toll Free)

Conference code: 995892

Individuals interested in being heard at this hearing shall notify this agency in writing by five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through 11:59 PM on April 30, 2021. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person below.

Contact Person: Kevin R. Winstead

Title: Commissioner, Department of Professional Licensing Address: 500 Mero Street, 237CW, Frankfort, Kentucky 40601

Phone: +1 (502) 782-0562 Fax: +1 (502) 564-4818

Email: KevinR.Winstead@ky.gov

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Regulation: 201 KAR 35:070E Contact Person: Kevin R. Winstead

Phone: +1 (502) 782-0562

Email: KevinR.Winstead@ky.gov

(1) Provide a brief summary of:

- (a) What this administrative regulation does: This administrative regulation establishes the procedure to obtain supervision for registration, certification, and licensure.
- (b) The necessity of this administrative regulation: The necessity of this regulation is to establish the procedure to obtain supervision.
- (c) How this administrative regulation conforms to the content of the authorizing statutes: The regulation is in conformity as the authorizing statute gives the board the ability to promulgate regulations regarding the requirements for supervision for registration, certification, and licensure.
- (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This regulation will assist in defining the expectations of the board; providing the board with more oversight, and establishing the procedure to obtain supervision.
- (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:
- How the amendment will change this existing administrative regulation: The amendment will change the existing administrative regulation by: (1) removing the 300-hour requirement for supervision for clinical supervision; (2) deleting the twelve (12) core functions; (3) replacing the twelve (12) core functions with the four (4) domains; (4) clarifying how much supervision is needed based on high level of education obtained; (5) stating that supervision that exceeds two (2) hours in a single day shall be accompanied by a written explanation justifying the length of supervision; (6) clarifying that only applicants who currently possession a certification as a certified alcohol and drug counselor can apply supervision received prior to February 5, 2016 to the required hours of supervision; (7) updating the requirements to become a supervisor to conform with KRS 309.083(3) (effective March 1, 2021), 309.0831(3) (effective March 1, 2021), 309.0842 (effective March 1, 2021), and 309.085 (effective March 1, 2021); (8) increasing the number of supervisees a supervisor may have from twelve (12) to twenty-five (25); (9) requiring that a supervisee's supervisor receive a copy of any complaint filed against a supervisee; (10) adding requirements to the supervision forms; (11) adding the two new credential to the list of those who need supervision; (12) deleting language regarding billing from Section 12; (13) deleting language dealing with graduate students as outside the scope of the board's authority; (14) updating the board's address; and (15) adding the board's Web site address.

- (b) The necessity of the amendment to this administrative regulation: The amendments are necessary to expand since new credentials have been established in the last legislative session and provide the board with more oversight of the supervision process of an applicant or licensee.
- (c) How the amendment conforms to the content of the authorizing statutes: The regulation is in conformity as the authorizing statute gives the board the ability to promulgate regulations regarding the requirements for supervision for registration, certification, and licensure.
- (d) How the amendment will assist in the effective administration of the statutes: This regulation will assist in defining the expectations of the board; providing the board with more oversight, and establishing the procedure to obtain supervision.
- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: The board is unable to determine the exact number of persons who would be impacted by this regulation since the applications vary from month to month. Future applicants and persons credentialed by the board will be affected by this administrative regulation. As of February 1, 2021 there were 513 licensed clinical alcohol and drug counselors, 21 licensed clinical alcohol and drug counselors associates, 458 certified alcohol and drug counselors, 930 temporary certified alcohol and drug counselors, 95 temporary registered alcohol and drug peer support specialists, and 15 registered alcohol and drug peer support specialists.
- (4) Provide an analysis of how the entities identified in the previous question will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:
- (a) List the actions each of the regulated entities have to take to comply with this regulation or amendment: In order to comply with this regulation, supervisees will have to obtain a supervisor and record their hours of supervision. Supervisors will have to apply with the board to become a supervisor.
- (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities: In complying with this administrative regulation, some applicants will have to attend training to become a supervisor, this may incur a cost. Most supervisees have to pay their supervisor for supervision.
- (c) As a result of compliance, what benefits will accrue to the entities: As a result of compliance, the credential holders and applicants will be able to document the supervision received and provide the board with more oversight during the supervision process.
- (5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:
- (a) Initially: Initially, there is no additional cost to the administrative body to implement this administrative regulation.

- (b) On a continuing basis: On a continuing basis, there is no additional cost to the administrative body to implement this administrative regulation.
- (6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: The board's operations are funded by fees paid by credential holders and applicants.
- (7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: There are no increases in fees or funding required to implement this administrative regulation.
- (8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: There are no new fees or fee increases associated with the amendments.
- (9) TIERING: Is tiering applied? Explain why or why not. Tiering was not applied as the regulation is applicable to all credential holders. This regulation does not distinguish between similarly situated individuals on the basis of any factor.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation: 201 KAR 35:070E Contact Person: Kevin R. Winstead

Phone: +1 (502) 782-0562

Email: KevinR.Winstead@ky.gov

- (1) What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Kentucky Board of Alcohol and Drug Counselors.
- (2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 309.0813(1), (3), (5). 309.083, 309.0831, 309.0832, 309.0833, 309.0841, 309.0842.
- (3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.
- (a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? This regulation will not generate revenue for state or local government.
- (b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? This regulation will not generate revenue for state or local government.
- (c) How much will it cost to administer this program for the first year? There will be no additional cost to administer this program.
- (d) How much will it cost to administer this program for subsequent years? There will be no additional cost to administer this program.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

- (4) Revenues (+/-): Neutral
- (5) Expenditures (+/-): Neutral
- (6) Other Explanation: None

SUMMARY OF MATERIAL INCORPORATED BY REFERENC

"KBADC Form 3, Supervisory Agreement", March 2021 is a three (3) page form to be signed by supervisors and supervisees setting for the requirements for supervision.

"KBADC Form 4, Request to Provide Supervision", March 2021 is a two (2) page form that supervisor fills out to become a board-approved supervisor.

"KBADC Form 6, Peer Support Specialist Supervisory Agreement", March 2021 is a three (3) page form to be signed by a supervisor and a peer support specialist needing supervision.

"KBADC Form 7, Supervision Evaluation", March 2021 is a three (3) page form to be completed by a supervisor evaluating the supervisee's performance.

"KBADC Form 8, Peer Support Specialist Verification of Supervision", March 2021 is a one(1) page form to be completed by the applicant and signed by the supervisor documenting direct supervision by a board-approved supervisor for a peer support specialist.

"KBADC Form 9, Supervision Evaluation for Peer Support Specialist", March 2021 is a three (3) page form to be completed by a supervisor of a peer support specialist.

"KBADC Form 13, Verification of Clinical Supervision", March 2021 is a six (6) page form to be completed by supervisors and supervisees recording clinical supervision.

"KBADC Form 14, Supervision Annual Report" March 2021 is a two (2) page form to be filled out by the supervisor every year evaluating the supervisee.

SUMMARY OF CHANGES TO MATERIAL INCORPORATED BY REFERENC

"KBADC Form 3, Supervisory Agreement", March 2021 is a three (3) page form to be signed by supervisors and supervisees setting for the requirements for supervision. The board made the following amendments to this form: (1) updated the board's address; (2) added new credential; (3) replaced twelve (12) core functions with four (4) domains; and (4) clarified that supervision has to take place two hours two (2) times a month.

"KBADC Form 4, Request to Provide Supervision", March 2021 is a two (2) page form that supervisor fills out to become a board-approved supervisor. The board made the following amendments to this form: (1) updated the board's address; (2) updated statute and regulation citations; (3) updated requirements to become a supervisor as required by statutes that go into effect March 1, 2021; and (4) added new credentials to list of those who could receive supervision.

"KBADC Form 6, Peer Support Specialist Supervisory Agreement", March 2021 is a three (3) page form to be signed by a supervisor and a peer support specialist needing supervision. The board made the following amendments to this form: (1) updated the board's address; (2) added the four (4) domains to the work experience requirement; and (3) clarified that supervision has to take place two hours two (2) times a month.

"KBADC Form 7, Supervision Evaluation", March 2021 is a three (3) page form to be completed by a supervisor evaluating the supervisee's performance. The board made the following amendments to this form: (1) updated the board's address; (2) replaced the twelve (12) core functions with the four (4) domains; and (3) clarified that the recommendation for certification or licensure is only to be completed upon the supervisee's application for certification or licensure.

"KBADC Form 8, Peer Support Specialist Verification of Supervision", March 2021 is a one(1) page form to be completed by the applicant and signed by the supervisor documenting direct supervision by a board-approved supervisor for a peer support specialist. The board made the following amendments to this form: (1) updated the board's address; and (2) added four (4) domains for peer support services.

"KBADC Form 9, Supervision Evaluation for Peer Support Specialist", March 2021 is a three (3) page form to be completed by a supervisor of a peer support specialist. The board made the following amendments to this form: (1) updated the board's address; and (2) clarifying that peer support specialist can only perform recovery support or advocacy not counseling.

"KBADC Form 13, Verification of Clinical Supervision", March 2021 is a six (6) page form to be completed by supervisors and supervisees recording clinical supervision. The board made the following amendments to this form: (1) updated the board's address; (2) added a line for highest level of education achieved because the number of total hours of supervision is dependent on the highest level of education achieved; (3) set out the minimum supervision requirements for each level of education; (4) deleted the twelve (12) core functions and replaced them with the four (4) domains; and (5) removed telephone as an option for method of supervision.

"KBADC Form 14, Supervision Annual Report" March 2021 is a two (2) page form to be filled out by the supervisor every year evaluating the supervisee. The board made the following amendments to this form: (1) updated the board's address; (2) removed different forms of supervision; and (3) clarified that supervision has to take place two (2) hours two times a month.



GENERAL ASSEMBLY COMMONWEALTH OF KENTUCKY

2020 REGULAR SESSION

SENATE BILL NO. 191

AS ENACTED

TUESDAY, APRIL 14, 2020

RECEIVED AND FILED
DATE 4/24/2020
3:35PM

MICHAEL G. ADAMS
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY
BY

| 1 | | AN ACT relating to substance use disorders. |
|---------------|----------------------|--|
| 2 | Be it | enacted by the General Assembly of the Commonwealth of Kentucky: |
| 3 | | → SECTION 1. A NEW SECTION OF KRS CHAPTER 222 IS CREATED TO |
| 4 | REA | D AS FOLLOWS: |
| 5 | <u>(1)</u> | For the purposes of this section only: |
| 6 | | (a) "Employee" means a person who has failed a drug screen related to |
| 7 | | employment; and |
| 8 | | (b) "Employer" means an employer who elects to employ a person who has |
| 9 | | failed a drug screen related to employment. |
| 10 | <u>(2)</u> | The purpose of this section is to foster economic opportunities for individuals |
| 11 | | with histories of substance use disorder and to encourage employer participation |
| 12 | | in substance use disorder treatment programs. |
| 13 | <u>(3)</u> | The Cabinet for Health and Family Services shall, in conjunction with the Office |
| 14 | | of Drug Control Policy, promulgate any administrative regulations necessary to |
| 15 | | implement an employer-facilitated substance use disorder treatment program for |
| 16 | | employees who have failed an employment-related drug screen. The |
| 17 | | administrative regulations, at a minimum, shall include provisions: |
| 18 | | (a) Enumerating elements necessary in an employer's drug policy if the |
| 19 | | employer elects to participate in an employer-facilitated substance use |
| 20 | | disorder treatment program; |
| 21 | | (b) Mandating an initial clinical assessment of the employee by a qualified |
| 22 | FI 6'5' | health professional and creation of a written treatment plan; |
| 23 | ald Adams, and the | -(c) Containing referral information for clinical assessments of employees, |
| 24 | de a contratranguage | education, and treatment options; |
| -25 - | 18.5 (18.7) | (d) Requiring the employee provide its employer with proof of the employee's |
| 26 | 5 750 }d | active participation in a licensed drug education and substance use disorder |
| 27 | | treatment program and demonstrated successful compliance with the |

| 1 | | recommendations of the initial clinical assessment; and |
|----|------------|--|
| 2 | | (e) Establishing follow-up drug testing for the employee. |
| 3 | <u>(4)</u> | The Cabinet for Health and Family Services in conjunction with the Office of |
| 4 | | Drug Control Policy shall: |
| 5 | | (a) Promote voluntary participation for all employers regardless of the number |
| 6 | | of employees; and |
| 7 | | (b) Develop and deliver resources and training for employers including the |
| 8 | * | following information: |
| 9 | | 1. The definition of substance use disorder as defined in the current |
| 10 | | edition of the American Psychiatric Association's Diagnostic and |
| 11 | | Statistical Manual of Mental Disorders; |
| 12 | | 2. Signs and symptoms of a substance use disorder; |
| 13 | | 3. A list of licensed treatment options for substance use disorder; |
| 14 | | 4. Information about acceptable use of treatment records covered by the |
| 15 | | federal Health Insurance Portability and Accountability Act; |
| 16 | | 5. Information about substance use disorder treatment, return to use, |
| 17 | | and supportive work environments for treatment and recovery; and |
| 18 | | 6. A list of qualified health professionals that an employer may use to |
| 19 | | provide onsite drug abuse prevention education and substance use |
| 20 | | disorder treatment services for employees. |
| 21 | <u>(5)</u> | To participate in a treatment program the employee shall: |
| 22 | | (a) Provide the employer with a signed consent authorizing the employer to |
| 23 | | provide and receive documentation confirming the employee's participation |
| 24 | | and completion of substance use treatment services; |
| 25 | | (b) Comply with the employer's drug policy; and |
| 26 | | (c) Comply with the administrative regulations promulgated by the Cabinet for |
| 27 | | Health and Family Services in conjunction with the Office of Drug Control |

| 1 | | Policy. |
|----|------------|---|
| 2 | (6) To p | participate in the program an employer shall: |
| 3 | <u>(a)</u> | Develop and distribute to persons it employs a written drug policy, |
| 4 | | procedure, or protocol including, without limit, a test or series of tests to |
| 5 | | objectively measure substances that can create substance use disorders, that |
| 6 | | is in compliance with state and federal law; |
| 7 | <u>(b)</u> | Require an employee to participate in recommended drug education and |
| 8 | | licensed substance use disorder treatment services as a condition of |
| 9 | | employment; |
| 10 | <u>(c)</u> | Have the right to discipline or terminate an employee who does not comply |
| 11 | | with the agreed treatment services or the employer's drug policy; |
| 12 | <u>(d)</u> | Comply with the administrative regulations promulgated by the Cabinet for |
| 13 | | Health and Family Services, and the Office of Drug Control Policy; and |
| 14 | <u>(e)</u> | Secure all records and information concerning an employee's drug test |
| 15 | | results, treatment assessments, and treatment reports in a confidential |
| 16 | | manner and shall maintain this information separately from the employee's |
| 17 | | personnel file. The employer shall share this information: |
| 18 | | 1. a. Internally only with those in the employee's chain-of-authority |
| 19 | | who need the information to perform responsibilities related to |
| 20 | | supervision or support of the employee; and |
| 21 | | b. Externally only when the employee has provided specific written |
| 22 | | authorization to disclose specific facts to specific parties for a |
| 23 | | specific purpose; or |
| 24 | | 2. As ordered by the court. |
| 25 | (7) An | employer may: |
| 26 | <u>(a)</u> | Pay for all or part of the employee's substance use disorder education and |
| 27 | | treatment services; and |

| l | <u>(b)</u> | Accept a voluntary wage assignment from the employee to pay for part of |
|----|------------|--|
| 2 | | the employee's substance use disorder treatment. The wage assignment |
| 3 | | shall not reduce the employee's remaining net compensation below the |
| 4 | | federal minimum wage during any pay period. |
| 5 | (8) If | an employer complies with the requirements of this section: |
| 6 | <u>(a)</u> | The employer shall not be liable for a civil action alleging negligent hiring, |
| 7 | | negligent retention, or negligent supervision for a negligent act by the |
| 8 | | employee as a result of the employee's substance use disorder unless it can |
| 9 | | be shown that the employer violated subsection (6) of this section, or knew |
| 10 | | or should have known, that the employee had a recurrence of his or her |
| 11 | | substance use disorder and was acting under the influence of the substance |
| 12 | | at the time of the alleged negligent act; |
| 13 | <u>(b</u> | The employer's participation or nonparticipation in a drug education and |
| 14 | | substance use disorder treatment program shall not be admissible as |
| 15 | | evidence in an action against the employer, the employer's agent, or the |
| 16 | | employer's employee except that it may be admitted as evidence of the |
| 17 | | participating employer's liability limitation, mitigation of damages, or as |
| 18 | | evidence of a participating employer's noncompliance with subsection (6) of |
| 19 | | this section; and |
| 20 | <u>(c</u> |) Referral and treatment for drug education and substance use disorder |
| 21 | | treatment by the employer shall not be sufficient to constitute compliance |
| 22 | | with this section unless the employee has complied with all other |
| 23 | | requirements of this statute and associated administrative regulations. |
| 24 | (9) T | his section shall not form the basis of any individual private right of action and |
| 25 | <u>sı</u> | ubsection (8)(a) of this section shall operate as an affirmative defense for which |
| 26 | <u>aı</u> | n employer bears the burden of proving compliance. However, nothing in this |
| 27 | <u>se</u> | ection shall bar a plaintiff from commencing a cause of action for any common |

| 1 | law claim for any injury to person or property or for wrongful death in any civil |
|----|---|
| 2 | action alleging negligent hiring, negligent retention, or negligent supervision, |
| 3 | against an employer. |
| 4 | →SECTION 2. A NEW SECTION OF KRS 309.080 TO 309.089 IS CREATED |
| 5 | TO READ AS FOLLOWS: |
| 6 | (1) An applicant for certification as a certified alcohol and drug counselor associate |
| 7 | I shall pay the board the initial fee for certification, and shall: |
| 8 | (a) Be at least eighteen (18) years of age; |
| 9 | (b) Have obtained a high school diploma or high school equivalency diploma; |
| 10 | (c) Have completed forty (40) classroom hours of board-approved curriculum, |
| 11 | twenty (20) hours of which shall have been obtained in the previous two (2) |
| 12 | years, that includes: |
| 13 | 1. Screening assessment and engagement; |
| 14 | 2. Treatment planning, collaboration, and referral; |
| 15 | 3. Counseling; and |
| 16 | 4. Professional and ethical responsibilities; |
| 17 | (d) Be under the ongoing supervision of a board-approved supervisor for no |
| 18 | less than two (2) hours, two (2) times a month in the practice of drug and |
| 19 | alcohol counseling; and |
| 20 | (e) Submit a signed statement agreeing to practice by the code of ethical |
| 21 | standards adopted by the board. |
| 22 | (2) During the first twelve (12) months after an initial certificate has been issued, a |
| 23 | certified alcohol and drug counselor associate I shall complete at least thirty (30) |
| 24 | additional classroom hours of board-approved curriculum as specified in |
| 25 | subsection (1)(c)1. to 4. of this section. |
| 26 | →SECTION 3. A NEW SECTION OF KRS 309.080 TO 309.089 IS CREATED |
| 27 | TO READ AS FOLLOWS: |

| 1 | An applicant for certification as a certified alcohol and drug counselor associate II |
|----|---|
| 2 | shall pay the board the initial fee for certification, and shall: |
| 3 | (1) Be certified by the board as a certified alcohol and drug counselor associate I for |
| 4 | a period of at least eight (8) months; |
| 5 | (2) Have four hundred (400) hours of documented chemical dependency counseling- |
| 6 | related compensated work or supervised internship experience of which a |
| 7 | minimum of eighty (80) hours shall be in chemical dependency counseling and |
| 8 | all of which shall have been under the direct supervision of: |
| 9 | (a) A certified alcohol and drug counselor who has at least two (2) years of |
| 10 | post-certification experience and has attended the board-sponsored |
| 11 | supervision training; or |
| 12 | (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) |
| 13 | months of post-licensure experience or has attended the board-sponsored |
| 14 | supervision training; and |
| 15 | (3) Have seventy (70) hours of approved classroom hours of board-approved |
| 16 | curriculum of which twenty (20) hours shall have been obtained in the previous |
| 17 | two (2) years and shall be in addition to the classroom hours required in Section |
| 18 | 2 of this Act for a certified alcohol and drug counselor associate I. |
| 19 | → Section 4. KRS 309.080 is amended to read as follows: |
| 20 | As used in KRS 309.080 to 309.089, unless the context otherwise requires: |
| 21 | (1) "Board" means the Kentucky Board of Alcohol and Drug Counselors; |
| 22 | (2) "Certified alcohol and drug counselor associate I" means a person certified by |
| 23 | the board who meets the requirements of Section 2 of this Act; |
| 24 | (3) "Certified alcohol and drug counselor associate II" means a person certified by |
| 25 | the board who meets the requirements of Section 3 of this Act; |
| 26 | "Certified alcohol and drug counselor" means a person certified by the board who |
| 27 | meets the requirements in KRS 309.083; |

| I | (3){(3)} | "Certificate holder" means an alcohol and drug counselor who is certified |
|----|----------------------------|--|
| 2 | purs | uant to KRS 309.080 to 309.089; |
| 3 | <u>(6)</u> {(4)} | "Licensed clinical alcohol and drug counselor" means a person licensed by the |
| 4 | boar | d who meets the requirements of KRS 309.0832; |
| 5 | <u>(7)[(5)]</u> | "Licensed clinical alcohol and drug counselor associate" means a person |
| 6 | licer | nsed by the board who meets the requirements of KRS 309.0833; |
| 7 | <u>(8)[(6)]</u> | "Licensee" means a clinical alcohol and drug counselor who is licensed |
| 8 | purs | uant to KRS 309.080 to 309.089; |
| 9 | <u>(9)</u> [(7)] | "Practice of alcohol and drug counseling": |
| 10 | (a) | Means the assessment and counseling of an individual, family, or group |
| 11 | | dealing with an alcohol or drug problem or addiction; and |
| 12 | (b) | Does not include the diagnosis or treatment of a mental health condition, or |
| 13 | | the administration or interpretation of psychological tests; |
| 14 | <u>(10)[(8)]</u> | "Registered alcohol and drug peer support specialist" means a person |
| 15 | regi | stered by the board who meets the requirements in KRS 309.0831; and |
| 16 | <u>(11)[(9)]</u> | "Registrant" means an alcohol and drug peer support specialist who is |
| 17 | regi | stered pursuant to KRS 309.080 to 309.089. |
| 18 | →9 | Section 5. KRS 309.0805 is amended to read as follows: |
| 19 | (1) No | person shall use the title "licensed clinical alcohol and drug counselor," |
| 20 | "lic | ensed clinical alcohol and drug counselor associate," "certified alcohol and drug |
| 21 | cou | nselor," "certified alcohol and drug counselor associate II," "certified alcohol |
| 22 | <u>ana</u> | I drug counselor associate I," or "registered alcohol and drug peer support |
| 23 | spe | cialist," or hold himself or herself out as a "licensed clinical alcohol and drug |
| 24 | cou | nselor," "licensed clinical alcohol and drug counselor associate," "certified |
| 25 | alce | ohol and drug counselor," or "registered alcohol and drug peer support specialist" |
| 26 | unl | ess he or she is licensed, certified, or registered pursuant to KRS 309.080 to |

309.089.

- Nothing in KRS 309.080 to 309.089 shall apply to persons licensed, certified, or registered under any other provision of the Kentucky Revised Statutes, including but not limited to physicians, social workers, psychologists, marriage and family therapists, art therapists, nurses, or students in accredited training programs in those professions, and nothing in KRS 309.080 to 309.089 shall be construed to limit, interfere with, or restrict the practice, descriptions of services, or manner in which they hold themselves out to the public.
- Nothing in KRS 309.080 to 309.089 shall be construed to alter, amend, or interfere with the practice of those who render counseling services, including but not limited to employment counseling, job placement counseling, vocational rehabilitation counseling, pastoral counseling based on any tenet of one's religious beliefs, or school counseling.
- Nothing in KRS 309.080 to 309.089 shall apply to the activities and services of a student intern or trainee who is pursuing a program of studies in alcohol and drug counseling at an accredited institution of higher education, if these activities are performed under the supervision or direction of an approved supervisor and the activities are part of the supervised program of studies.
- → Section 6. KRS 309.0813 is amended to read as follows:
- 19 The board shall:
- 20 (1) Promulgate administrative regulations pursuant to KRS Chapter 13A for the administration and enforcement of KRS 309.080 to 309.089;
- 22 (2) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing a
 23 code of ethics, standards of practice, and continuing education for licensed clinical
 24 alcohol and drug counselors, licensed clinical alcohol and drug counselor
 25 associates, certified alcohol and drug counselors, certified alcohol and drug
 26 counselor associates II, certified alcohol and drug counselor associates I, and
 27 registered alcohol and drug peer support specialists;

| 1 | (3) | Approve and | disapprove, | at | least | <u>once</u> | every | other | month[twice a year], | those |
|---|-----|-------------|-------------|----|-------|-------------|-------|-------|----------------------|-------|
|---|-----|-------------|-------------|----|-------|-------------|-------|-------|----------------------|-------|

- 2 persons who shall be licensed, certified, or registered under KRS 309.080 to
- 3 309.089;
- 4 (4) Approve the examination required of applicants for licensure or certification as
- alcohol and drug counselors and applicants for registration as alcohol and drug peer
- 6 support specialists, and promulgate administrative regulations pursuant to KRS
- 7 Chapter 13A for the administration and grading of the examination;
- 8 (5) Promulgate administrative regulations pursuant to KRS Chapter 13A to define the
- 9 process to register with the board as a registered alcohol and drug peer support
- specialist, certified alcohol and drug counselor, licensed clinical alcohol and drug
- 11 counselor associate, or licensed clinical alcohol and drug counselor;
- 12 (6) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing
- grounds and procedures for denying, suspending, failing to reissue, or revoking a
- license, certificate, or registration, and issuing reprimands and admonishments
- pursuant to KRS 309.080 to 309.089;
- 16 (7) Hold a hearing pursuant to KRS Chapter 13B upon the request of an aggrieved
- licensee, licensee associate, certificate holder, or registrant, or an applicant for a
- license, certificate, or registration;
- 19 (8) Employ needed personnel and establish their duties and compensation;
- 20 (9) Maintain a register of licensed clinical alcohol and drug counselors, licensed
- 21 clinical alcohol and drug counselor associates, certified alcohol and drug
- counselors, and registered alcohol and drug peer support specialists;
- 23 (10) Keep a complete record of the board's proceedings;
- 24 (11) Investigate suspected or alleged violations of KRS 309.080 to 309.089 and the
- administrative regulations promulgated pursuant to KRS 309.080 to 309.089;
- 26 (12) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing
- an initial licensure fee, certification fee, registration fee, and annual renewal fees

| 1 | | not to exceed three hundred dollars (\$300) each; | | | |
|----|--------|--|--|--|--|
| 2 | (13) | Take legal action as necessary to restrain or enjoin violations of KRS 309.080 to | | | |
| 3 | | 309.089 and the administrative regulations promulgated pursuant to KRS 309.080 | | | |
| 4 | | to 309.089; | | | |
| 5 | (14) | Submit an annual report to the Governor and the Legislative Research Commission | | | |
| 6 | | by January 1 of each year, which lists all hearings conducted by the board and the | | | |
| 7 | | decisions rendered; and | | | |
| 8 | (15) | Collect and deposit all fees, fines, and other moneys owed to the board into the | | | |
| 9 | | State Treasury to the credit of the revolving fund established in KRS 309.082. | | | |
| 10 | | → Section 7. KRS 309.083 is amended to read as follows: | | | |
| 11 | An a | applicant for certification as an alcohol and drug counselor shall pay the board the | | | |
| 12 | initia | al fee for certification, and shall: | | | |
| 13 | (1) | Be at least eighteen (18) years of age; | | | |
| 14 | (2) | Have obtained a baccalaureate degree, unless the applicant is certified by the board | | | |
| 15 | | as a certified alcohol and drug counselor associate II; | | | |
| 16 | (3) | Have completed the minimum work experience and supervision requirements for | | | |
| 17 | | alcohol and drug counselors by the International Certification and Reciprocity | | | |
| 18 | | Consortium with supervised hours being[six thousand (6,000) hours of board | | | |
| 19 | | approved experience working with persons having a substance use disorder, three | | | |
| 20 | | hundred (300) hours of which shall have been] under the direct supervision of: | | | |
| 21 | | (a) A certified alcohol and drug counselor who has at least two (2) years of post- | | | |
| 22 | | certification experience and has attended the board-sponsored supervision | | | |
| 23 | | <u>training</u> ; or | | | |
| 24 | | (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) | | | |
| 25 | | months[two (2) years] of post-licensure experience or has attended the | | | |
| 26 | | board-sponsored supervision training; | | | |
| 27 | (4) | Meet all minimum supervision, education, and training requirements of the | | | |

| 1 | | International Certification and Reciprocity Consortium for the Alcohol and Drug | | | |
|----|------|--|--|--|--|
| 2 | | Counselor (ADC)[Have completed at least two hundred seventy (270) classroom | | | |
| 3 | | hours of board-approved curriculum]; | | | |
| 4 | (5) | Have passed a written examination for alcohol and drug counselors that has been | | | |
| 5 | | approved by the International Certification and Reciprocity Consortium on | | | |
| 6 | | Alcoholism and Drug Abuse]; | | | |
| 7 | (6) | Have signed an agreement to abide by the standards of practice and code of ethics | | | |
| 8 | | approved by the board; | | | |
| 9 | (7) | Have completed at least six (6) hours of ethics training; three (3) hours of domestic | | | |
| 0 | | violence training; and two (2) hours of training in the transmission, control, | | | |
| 1 | | treatment, and prevention of the human immunodeficiency virus; | | | |
| 12 | (8) | Have submitted two (2) letters of reference from certified or licensed clinical | | | |
| 13 | | alcohol and drug counselors; | | | |
| 14 | (9) | Live or work at least a majority of the time in Kentucky; and | | | |
| 15 | (10) | Have complied with the requirements for the training program in suicide | | | |
| 16 | | assessment, treatment, and management in KRS 210.366 and any administrative | | | |
| 17 | | regulations promulgated thereunder. | | | |
| 18 | | → Section 8. KRS 309.0831 is amended to read as follows: | | | |
| 19 | An a | An applicant for registration as an alcohol and drug peer support specialist shall pay the | | | |
| 20 | boar | rd an initial fee for registration, and shall: | | | |
| 21 | (1) | Be at least eighteen (18) years of age; | | | |
| 22 | (2) | Have obtained a high school diploma or equivalent; | | | |
| 23 | (3) | Have completed five hundred (500) hours of board-approved experience working | | | |
| 24 | | with persons having a substance use disorder, twenty-five (25) hours of which shall | | | |
| 25 | | have been under the direct supervision of: | | | |
| 26 | | (a) A certified alcohol and drug counselor who has at least two (2) years post- | | | |
| 27 | | certification experience and has attended the board-sponsored supervision | | | |

| 1 | | <u>training;</u> or |
|----|------|---|
| 2 | | (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) |
| 3 | | months of post-licensure experience or has attended the board-sponsored |
| 4 | | supervision training; |
| 5 | (4) | Have completed at least forty (40)[sixty (60)] classroom hours of board-approved |
| 6 | | curriculum; |
| 7 | (5) | Have passed a written examination that has been approved by the board; |
| 8 | (6) | Have signed an agreement to abide by the standards of practice and code of ethics |
| 9 | | approved by the board; |
| 10 | (7) | Attest to being in recovery for a minimum of one (1) year[two (2) years] from a |
| 11 | | substance-related disorder; |
| 12 | (8) | Have completed at least sixteen (16) hours of ethics training; three (3) hours of |
| 13 | | domestic violence training; two (2) hours of training in the transmission, control, |
| 14 | | treatment, and prevention of the human immunodeficiency virus; ten (10) hours of |
| 15 | | advocacy training; ten (10) hours of training in mentoring and education; and ten |
| 16 | | (10) hours of training in recovery support; |
| 17 | (9) | Have submitted two (2) letters of reference from certified alcohol and drug |
| 18 | | counselors or licensed clinical alcohol and drug counselors; |
| 19 | (10) | Live or work at least a majority of the time in Kentucky; and |
| 20 | (11) | Have complied with the requirements for the training program in suicide |
| 21 | | assessment, treatment, and management in KRS 210.366 and any administrative |
| 22 | | regulations promulgated thereunder. |
| 23 | | → Section 9. KRS 309.0832 is amended to read as follows: |
| 24 | An a | applicant for licensure as a licensed clinical alcohol and drug counselor shall pay the |
| 25 | boar | d the initial fee for licensure, and shall: |
| 26 | (1) | Be at least eighteen (18) years of age; |
| 27 | (2) | Have obtained from a regionally accredited college or university or a college or |

| 1 | univ | ersity accredited by an agency recognized by the United States Department of |
|----|-----------------------------|--|
| 2 | Educ | cation: |
| 3 | (a) | A sixty (60) hour master's degree in a behavioral science with clinical |
| 4 | | application; |
| 5 | (b) | A thirty (30) hour advanced placement master's degree in a behavioral science |
| 6 | | with clinical application; or |
| 7 | (c) | A doctoral degree in a behavioral science with clinical application; |
| 8 | (3) <u>Mee</u> | t all education and supervision requirements of the International |
| 9 | <u>Cert</u> | tification and Reciprocity Consortium for the Advanced Alcohol and Drug |
| 10 | <u>Cou</u> | nselor (AADC); |
| 11 | <u>(4)</u> Hav | e completed at least one hundred eighty (180) classroom hours of alcohol and |
| 12 | drug | g counselor specific board-approved curriculum; |
| 13 | <u>(5){(4)}</u> | Have passed a written examination as specified by the board in administrative |
| 14 | regu | ılation; |
| 15 | <u>(6)</u> [(5)] | Have signed an agreement to abide by the standards of practice and code of |
| 16 | ethi | cs approved by the board; |
| 17 | <u>(7){(6)}</u> | Have completed at least six (6) hours of ethics training; three (3) hours of |
| 18 | don | nestic violence training; and two (2) hours training in the transmission, control, |
| 19 | trea | tment, and prevention of the human immunodeficiency virus, in addition to the |
| 20 | edu | cational requirements in subsection (2) of this section; |
| 21 | <u>(8){(7)}</u> | Have submitted two (2) letters of reference from certified alcohol and drug |
| 22 | cou | nselors or licensed clinical alcohol and drug counselors; |
| 23 | <u>(9)</u> [(8)] | Live or work at least a majority of the time in Kentucky; |
| 24 | <u>(10)[(9)]</u> | Have complied with the requirements for the training program in suicide |
| 25 | ass | essment, treatment, and management in KRS 210.366 and any administrative |
| 26 | Ū | ulations promulgated thereunder; and |
| 27 | (11) [(10) | Have completed two thousand (2,000) hours of board-approved experience |

| 1 | | worki | ing with persons having a substance use disorder, three hundred (300) hours of |
|----|-----|-------------|---|
| 2 | | which | shall have been under the direct supervision of a licensed clinical alcohol and |
| 3 | | drug | counselor. |
| 4 | | → Se | ction 10. KRS 309.0833 is amended to read as follows: |
| 5 | (1) | An a | applicant for licensure as a licensed clinical alcohol and drug counselor |
| 6 | | assoc | iate shall: |
| 7 | | (a) | Pay the board the initial fee for licensure; |
| 8 | | (b) | Complete the requirements under KRS 309.0832(1) to (9); and |
| 9 | | (c) | Obtain a board-approved supervisor of record. |
| 10 | (2) | Upon | n completion of the hours of board-approved experience specified in KRS |
| 11 | | 309.0 | 0832(11) [(10)], a licensed clinical alcohol and drug counselor associate may |
| 12 | | apply | y to the board for licensure as a licensed clinical alcohol and drug counselor. |
| 13 | | → Se | ection 11. KRS 309.088 is amended to read as follows: |
| 14 | (1) | The | board may permit an out-of-state licensed clinical alcohol and drug counselor, |
| 15 | | certi | fied alcohol and drug counselor, certified alcohol and drug counselor |
| 16 | | asso | ciate II, certified alcohol and drug counselor associate I, or alcohol and drug |
| 17 | | peer | support specialist to obtain a license, certificate, or registration by reciprocity |
| 18 | | if: | |
| 19 | | (a) | The out-of-state licensee, certificate holder, or registrant possesses a valid |
| 20 | | | license, certificate, or registration from another jurisdiction that grants the |
| 21 | | | same privileges to persons licensed, certified, or registered by this state as |
| 22 | | | Kentucky grants to persons licensed, certified, or registered by the other |
| 23 | | | jurisdiction; |
| 24 | | (b) | The requirements for licensure, certification, or registration are substantially |
| 25 | | | similar to the requirements in KRS 309.080 to 309.089; and |
| 26 | | (c) | The out-of-state licensee, certificate holder, or registrant seeking licensure, |
| 27 | | | certification, or registration states that he or she has studied, is familiar with, |

| 1 | | and shall abide by KRS 309.080 to 309.089 and the administrative regulations |
|---|-----|--|
| 2 | | promulgated thereunder. |
| 3 | (2) | If the requirements for licensure, certification, or registration under KRS 309.080 to |
| 4 | | 309.089 are more restrictive than the standards of the other jurisdiction, then the |
| 5 | | out-of-state licensee, certificate holder, or registrant shall comply with the |
| 6 | | additional requirements in KRS 309.080 to 309.089 to obtain a reciprocal license |
| 7 | | certificate, or registration. |
| 8 | | → Section 12. Sections 2 to 11 of this Act shall take effect on March 1, 2021. |

President of Senate

Speaker-House of Representatives

Attest: Chief Clerk of Senate

Approved Governor

Date 4-24-2020

309.080 Definitions for KRS 309.080 to 309.089. (Effective March 1, 2021)

As used in KRS 309.080 to 309.089, unless the context otherwise requires:

- (1) "Board" means the Kentucky Board of Alcohol and Drug Counselors;
- (2) "Certified alcohol and drug counselor associate I" means a person certified by the board who meets the requirements of KRS 309.0841;
- (3) "Certified alcohol and drug counselor associate II" means a person certified by the board who meets the requirements of KRS 309.0842;
- (4) "Certified alcohol and drug counselor" means a person certified by the board who meets the requirements in KRS 309.083;
- (5) "Certificate holder" means an alcohol and drug counselor who is certified pursuant to KRS 309.080 to 309.089;
- (6) "Licensed clinical alcohol and drug counselor" means a person licensed by the board who meets the requirements of KRS 309.0832;
- (7) "Licensed clinical alcohol and drug counselor associate" means a person licensed by the board who meets the requirements of KRS 309.0833;
- (8) "Licensee" means a clinical alcohol and drug counselor who is licensed pursuant to KRS 309.080 to 309.089;
- (9) "Practice of alcohol and drug counseling":
 - (a) Means the assessment and counseling of an individual, family, or group dealing with an alcohol or drug problem or addiction; and
 - (b) Does not include the diagnosis or treatment of a mental health condition, or the administration or interpretation of psychological tests;
- (10) "Registered alcohol and drug peer support specialist" means a person registered by the board who meets the requirements in KRS 309.0831; and
- (11) "Registrant" means an alcohol and drug peer support specialist who is registered pursuant to KRS 309.080 to 309.089.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 4, effective March 1, 2021. -- Amended 2015 Ky. Acts ch. 29, sec. 1, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 1, effective July 15, 1996.

309.0805 Use of titles restricted to individuals who are licensed, certified, or registered with the board -- Construction. (Effective March 1, 2021)

- (1) No person shall use the title "licensed clinical alcohol and drug counselor," "licensed clinical alcohol and drug counselor associate," "certified alcohol and drug counselor," "certified alcohol and drug counselor associate II," "certified alcohol and drug counselor associate I," or "registered alcohol and drug peer support specialist," or hold himself or herself out as a "licensed clinical alcohol and drug counselor," "licensed clinical alcohol and drug counselor associate," "certified alcohol and drug counselor," or "registered alcohol and drug peer support specialist" unless he or she is licensed, certified, or registered pursuant to KRS 309.080 to 309.089.
- (2) Nothing in KRS 309.080 to 309.089 shall apply to persons licensed, certified, or registered under any other provision of the Kentucky Revised Statutes, including but not limited to physicians, social workers, psychologists, marriage and family therapists, art therapists, nurses, or students in accredited training programs in those professions, and nothing in KRS 309.080 to 309.089 shall be construed to limit, interfere with, or restrict the practice, descriptions of services, or manner in which they hold themselves out to the public.
- (3) Nothing in KRS 309.080 to 309.089 shall be construed to alter, amend, or interfere with the practice of those who render counseling services, including but not limited to employment counseling, job placement counseling, vocational rehabilitation counseling, pastoral counseling based on any tenet of one's religious beliefs, or school counseling.
- (4) Nothing in KRS 309.080 to 309.089 shall apply to the activities and services of a student intern or trainee who is pursuing a program of studies in alcohol and drug counseling at an accredited institution of higher education, if these activities are performed under the supervision or direction of an approved supervisor and the activities are part of the supervised program of studies.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 5, effective March 1, 2021. -- Amended 2015 Ky. Acts ch. 29, sec. 2, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 2, effective July 15, 1996.

309.081 Board of Alcohol and Drug Counselors.

- (1) There is hereby created the Kentucky Board of Alcohol and Drug Counselors consisting of seven (7) members who shall be appointed by the Governor. One (1) member shall be a citizen at large who is not associated with or financially interested in the practice or business of alcohol and drug counseling, and the six (6) remaining members shall be licensed clinical alcohol and drug counselors or certified alcohol and drug counselors, pursuant to KRS 309.080 to 309.089. The board shall elect a chairperson each year at the first meeting called after the appointment of new members.
- (2) Each member of the board shall serve for a term of four (4) years with a maximum of two (2) full consecutive terms.
- (3) Each counselor member appointed to the board shall be a licensed clinical alcohol and drug counselor or certified alcohol and drug counselor and shall be actively engaged in the practice or teaching of alcohol and drug counseling in Kentucky.
- (4) All reappointments to and vacancies on the board shall be filled by the Governor from a list of three (3) names for each position that shall be submitted by the Kentucky Association of Addiction Professionals. The list shall consist of the three (3) nominees receiving the most votes in an election for each position to be filled. The election shall be administered by the Kentucky Association of Addiction Professionals, and nominations may be submitted by any interested party. The nominees shall be selected by all alcohol and drug counselors licensed or certified under KRS 309.080 to 309.089. Vacancies shall be filled for the remainder of an unexpired term in the same manner as set out in this subsection.
- (5) The citizen-at-large member shall be disqualified from serving on the board if:
 - (a) The member, a person who is a part of the member's household, or the member's relative becomes associated with or financially interested in the business of alcohol and drug counseling, or participates or has participated in a professional field related to alcohol and drug counseling; or
 - (b) The member, a person who is a part of the member's household, or the member's relative becomes, or is in training to become, a licensed clinical alcohol and drug counselor or certified alcohol and drug counselor.
- (6) A counselor member of the board shall be disqualified from serving on the board if:
 - (a) He or she violates the code of professional ethics or standards of practice established pursuant to KRS 309.0813; or
 - (b) He or she ceases to be a licensed clinical alcohol and drug counselor or certified alcohol and drug counselor in Kentucky.
- (7) Board members shall be reimbursed for all reasonable and necessary expenses they incur because of their board duties.

Effective: June 24, 2015

History: Amended 2015 Ky. Acts ch. 29, sec. 3, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 3, effective July 15, 1996.

309.0813 Duties of board -- Administrative regulations -- Fees. (Effective March 1, 2021)

The board shall:

- (1) Promulgate administrative regulations pursuant to KRS Chapter 13A for the administration and enforcement of KRS 309.080 to 309.089;
- (2) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing a code of ethics, standards of practice, and continuing education for licensed clinical alcohol and drug counselors, licensed clinical alcohol and drug counselor associates, certified alcohol and drug counselors, certified alcohol and drug counselor associates II, certified alcohol and drug counselor associates I, and registered alcohol and drug peer support specialists;
- (3) Approve and disapprove, at least once every other month, those persons who shall be licensed, certified, or registered under KRS 309.080 to 309.089;
- (4) Approve the examination required of applicants for licensure or certification as alcohol and drug counselors and applicants for registration as alcohol and drug peer support specialists, and promulgate administrative regulations pursuant to KRS Chapter 13A for the administration and grading of the examination;
- (5) Promulgate administrative regulations pursuant to KRS Chapter 13A to define the process to register with the board as a registered alcohol and drug peer support specialist, certified alcohol and drug counselor, licensed clinical alcohol and drug counselor;
- (6) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing grounds and procedures for denying, suspending, failing to reissue, or revoking a license, certificate, or registration, and issuing reprimands and admonishments pursuant to KRS 309.080 to 309.089;
- (7) Hold a hearing pursuant to KRS Chapter 13B upon the request of an aggrieved licensee, licensee associate, certificate holder, or registrant, or an applicant for a license, certificate, or registration;
- (8) Employ needed personnel and establish their duties and compensation;
- (9) Maintain a register of licensed clinical alcohol and drug counselors, licensed clinical alcohol and drug counselor associates, certified alcohol and drug counselors, and registered alcohol and drug peer support specialists;
- (10) Keep a complete record of the board's proceedings;
- (11) Investigate suspected or alleged violations of KRS 309.080 to 309.089 and the administrative regulations promulgated pursuant to KRS 309.080 to 309.089;
- (12) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing an initial licensure fee, certification fee, registration fee, and annual renewal fees not to exceed three hundred dollars (\$300) each;
- (13) Take legal action as necessary to restrain or enjoin violations of KRS 309.080 to 309.089 and the administrative regulations promulgated pursuant to KRS 309.080 to 309.089;
- (14) Submit an annual report to the Governor and the Legislative Research Commission

- by January 1 of each year, which lists all hearings conducted by the board and the decisions rendered; and
- (15) Collect and deposit all fees, fines, and other moneys owed to the board into the State Treasury to the credit of the revolving fund established in KRS 309.082.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 6, effective March 1, 2021. -- Amended 2015 Ky. Acts ch. 29, sec. 4, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 4, effective July 15, 1996.

Legislative Research Commission Note (6/24/2015). Under the authority of KRS 7.136(1)(h), during codification of 2015 Ky. Acts ch. 29, sec. 4 (this statute), the Reviser of Statutes has corrected a reference in subsection (15) to "Section 15 of this Act" to read instead "Section 16 of this Act" since the text of subsection (15) is referring to a revolving fund established in Section 16 of that Act, not Section 15. As codified, Section 16 became KRS 309.082.

309.0814 Administrative regulations regarding supervisors of record.

- (1) The board shall promulgate administrative regulations in accordance with KRS Chapter 13A to define the process to register with the board as a supervisor of record, including required supervisory training.
- (2) A registered alcohol and drug peer support specialist shall only practice as an employee of a licensed facility or under the board-approved supervision of a certified alcohol and drug counselor or licensed clinical alcohol and drug counselor.

Effective: June 24, 2015

History: Created 2015 Ky. Acts ch. 29, sec. 9, effective June 24, 2015.

309.082 Revolving fund for implementation of KRS 309.080 to 309.089.

- (1) All license, certificate, and registration fees, charges, and fines, and other moneys collected by the board under KRS 309.080 to 309.089 and the administrative regulations of the board, shall be deposited into the State Treasury and credited to a revolving fund to be used by the board in carrying out KRS 309.080 to 309.089, and are hereby appropriated for those purposes.
- (2) Notwithstanding KRS 45.229, any moneys remaining in the fund at the close of the fiscal year shall not lapse but shall be carried forward into the succeeding fiscal year. Any interest earnings of the fund shall become part of the fund and shall not lapse.

Effective: June 24, 2015

History: Created 2015 Ky. Acts ch. 29, sec. 16, effective June 24, 2015.

309.083 Requirements for certification as alcohol and drug counselors. (Effective March 1, 2021)

An applicant for certification as an alcohol and drug counselor shall pay the board the initial fee for certification, and shall:

- (1) Be at least eighteen (18) years of age;
- (2) Have obtained a baccalaureate degree, unless the applicant is certified by the board as a certified alcohol and drug counselor associate II;
- (3) Have completed the minimum work experience and supervision requirements for alcohol and drug counselors by the International Certification and Reciprocity Consortium with supervised hours being under the direct supervision of:
 - (a) A certified alcohol and drug counselor who has at least two (2) years of postcertification experience and has attended the board-sponsored supervision training; or
 - (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) months of post-licensure experience or has attended the board-sponsored supervision training;
- (4) Meet all minimum supervision, education, and training requirements of the International Certification and Reciprocity Consortium for the Alcohol and Drug Counselor (ADC);
- (5) Have passed a written examination for alcohol and drug counselors that has been approved by the International Certification and Reciprocity Consortium;
- (6) Have signed an agreement to abide by the standards of practice and code of ethics approved by the board;
- (7) Have completed at least six (6) hours of ethics training; three (3) hours of domestic violence training; and two (2) hours of training in the transmission, control, treatment, and prevention of the human immunodeficiency virus;
- (8) Have submitted two (2) letters of reference from certified or licensed clinical alcohol and drug counselors;
- (9) Live or work at least a majority of the time in Kentucky; and
- (10) Have complied with the requirements for the training program in suicide assessment, treatment, and management in KRS 210.366 and any administrative regulations promulgated thereunder.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 7, effective March 1, 2021. -- Amended 2015 Ky. Acts ch. 29, sec. 6, effective June 24, 2015. -- Amended 2003 Ky. Acts ch. 36, sec. 1, effective June 24, 2003. -- Created 1996 Ky. Acts ch. 96, sec. 5, effective July 15, 1996.

309.0831 Requirements for registration as an alcohol and drug peer support specialist. (Effective March 1, 2021)

An applicant for registration as an alcohol and drug peer support specialist shall pay the board an initial fee for registration, and shall:

- (1) Be at least eighteen (18) years of age;
- (2) Have obtained a high school diploma or equivalent;
- (3) Have completed five hundred (500) hours of board-approved experience working with persons having a substance use disorder, twenty-five (25) hours of which shall have been under the direct supervision of:
 - (a) A certified alcohol and drug counselor who has at least two (2) years postcertification experience and has attended the board-sponsored supervision training; or
 - (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) months of post-licensure experience or has attended the board-sponsored supervision training;
- (4) Have completed at least forty (40) classroom hours of board-approved curriculum;
- (5) Have passed a written examination that has been approved by the board;
- (6) Have signed an agreement to abide by the standards of practice and code of ethics approved by the board;
- (7) Attest to being in recovery for a minimum of one (1) year from a substance-related disorder;
- (8) Have completed at least sixteen (16) hours of ethics training; three (3) hours of domestic violence training; two (2) hours of training in the transmission, control, treatment, and prevention of the human immunodeficiency virus; ten (10) hours of advocacy training; ten (10) hours of training in mentoring and education; and ten (10) hours of training in recovery support;
- (9) Have submitted two (2) letters of reference from certified alcohol and drug counselors or licensed clinical alcohol and drug counselors;
- (10) Live or work at least a majority of the time in Kentucky; and
- (11) Have complied with the requirements for the training program in suicide assessment, treatment, and management in KRS 210.366 and any administrative regulations promulgated thereunder.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 8, effective March 1, 2021. -- Created 2015 Ky. Acts ch. 29, sec. 5, effective June 24, 2015.

309.0832 Requirements for licensure as a licensed clinical alcohol and drug counselor. (Effective March 1, 2021)

An applicant for licensure as a licensed clinical alcohol and drug counselor shall pay the board the initial fee for licensure, and shall:

- (1) Be at least eighteen (18) years of age;
- (2) Have obtained from a regionally accredited college or university or a college or university accredited by an agency recognized by the United States Department of Education:
 - (a) A sixty (60) hour master's degree in a behavioral science with clinical application;
 - (b) A thirty (30) hour advanced placement master's degree in a behavioral science with clinical application; or
 - (c) A doctoral degree in a behavioral science with clinical application;
- (3) Meet all education and supervision requirements of the International Certification and Reciprocity Consortium for the Advanced Alcohol and Drug Counselor (AADC);
- (4) Have completed at least one hundred eighty (180) classroom hours of alcohol and drug counselor specific board-approved curriculum;
- (5) Have passed a written examination as specified by the board in administrative regulation;
- (6) Have signed an agreement to abide by the standards of practice and code of ethics approved by the board;
- (7) Have completed at least six (6) hours of ethics training; three (3) hours of domestic violence training; and two (2) hours training in the transmission, control, treatment, and prevention of the human immunodeficiency virus, in addition to the educational requirements in subsection (2) of this section;
- (8) Have submitted two (2) letters of reference from certified alcohol and drug counselors or licensed clinical alcohol and drug counselors;
- (9) Live or work at least a majority of the time in Kentucky;
- (10) Have complied with the requirements for the training program in suicide assessment, treatment, and management in KRS 210.366 and any administrative regulations promulgated thereunder; and
- (11) Have completed two thousand (2,000) hours of board-approved experience working with persons having a substance use disorder, three hundred (300) hours of which shall have been under the direct supervision of a licensed clinical alcohol and drug counselor.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 9, effective March 1, 2021. -- Created 2015 Ky. Acts ch. 29, sec. 7, effective June 24, 2015.

309.0833 Requirements for licensure as a licensed clinical alcohol and drug counselor associate. (Effective March 1, 2021)

- (1) An applicant for licensure as a licensed clinical alcohol and drug counselor associate shall:
 - (a) Pay the board the initial fee for licensure;
 - (b) Complete the requirements under KRS 309.0832(1) to (10); and
 - (c) Obtain a board-approved supervisor of record.
- (2) Upon completion of the hours of board-approved experience specified in KRS 309.0832(11), a licensed clinical alcohol and drug counselor associate may apply to the board for licensure as a licensed clinical alcohol and drug counselor.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 10, effective March 1, 2021. -- Created 2015 Ky. Acts ch. 29, sec. 8, effective June 24, 2015.

309.084 Certification of applicants -- Administrative regulations.

- (1) The board shall license, certify, or register, as appropriate, any applicant who meets all of the requirements for licensure, certification, or registration set out in KRS 309.083, 309.0831, and 309.0832 and subsection (2) of this section, pays the fees established by the board, and is not disqualified pursuant to KRS 309.086.
- (2) (a) The board shall promulgate administrative regulations establishing a limited period of time, not less than ninety (90) days nor more than one (1) year, during which licensure may be extended to persons not meeting all the provisions of KRS 309.0832 if:
 - 1. The person is a certified alcohol and drug counselor in Kentucky prior to June 24, 2015; and
 - 2. The applicant has a master's degree or a doctoral degree in a behavioral science with clinical application from a regionally accredited college or university or a college or university accredited by an agency recognized by the United States Department of Education.
 - (b) After the expiration of the time period established by the board in administrative regulation under paragraph (a) of this subsection, the applicant for licensure shall meet the qualifications established in KRS 309.0832 or 309.0833.
 - (c) Applicants granted licensure under paragraph (a) of this subsection shall be granted authority to provide clinical supervision, as specified in regulations promulgated in accordance with KRS 309.0814(1), without delay to alcohol and drug counselors currently holding certification or licensure and those seeking certification or licensure.

.Effective: June 24, 2015

History: Amended 2015 Ky. Acts ch. 29, sec. 10, effective June 24, 2015. -- Amended 2003 Ky. Acts ch. 36, sec. 2, effective June 24, 2003. -- Created 1996 Ky. Acts ch. 96, sec. 6, effective July 15, 1996.

309.0841 Requirements for certification as a certified alcohol and drug counselor associate I. (Effective March 1, 2021)

- (1) An applicant for certification as a certified alcohol and drug counselor associate I shall pay the board the initial fee for certification, and shall:
 - (a) Be at least eighteen (18) years of age;
 - (b) Have obtained a high school diploma or high school equivalency diploma;
 - (c) Have completed forty (40) classroom hours of board-approved curriculum, twenty (20) hours of which shall have been obtained in the previous two (2) years, that includes:
 - 1. Screening assessment and engagement;
 - 2. Treatment planning, collaboration, and referral;
 - 3. Counseling; and
 - 4. Professional and ethical responsibilities;
 - (d) Be under the ongoing supervision of a board-approved supervisor for no less than two (2) hours, two (2) times a month in the practice of drug and alcohol counseling; and
 - (e) Submit a signed statement agreeing to practice by the code of ethical standards adopted by the board.
- (2) During the first twelve (12) months after an initial certificate has been issued, a certified alcohol and drug counselor associate I shall complete at least thirty (30) additional classroom hours of board-approved curriculum as specified in subsection (1)(c)1. to 4. of this section.

Effective: March 1, 2021

History: Created 2020 Ky. Acts ch. 99, sec. 2, effective March 1, 2021.

309.0842 Requirements for certification as a certified alcohol and drug counselor associate II. (Effective March 1, 2021)

An applicant for certification as a certified alcohol and drug counselor associate II shall pay the board the initial fee for certification, and shall:

- (1) Be certified by the board as a certified alcohol and drug counselor associate I for a period of at least eight (8) months;
- (2) Have four hundred (400) hours of documented chemical dependency counselingrelated compensated work or supervised internship experience of which a minimum of eighty (80) hours shall be in chemical dependency counseling and all of which shall have been under the direct supervision of:
 - (a) A certified alcohol and drug counselor who has at least two (2) years of postcertification experience and has attended the board-sponsored supervision training; or
 - (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) months of post-licensure experience or has attended the board-sponsored supervision training; and
- (3) Have seventy (70) hours of approved classroom hours of board-approved curriculum of which twenty (20) hours shall have been obtained in the previous two (2) years and shall be in addition to the classroom hours required in KRS 309.0841 for a certified alcohol and drug counselor associate I.

Effective: March 1, 2021

History: Created 2020 Ky. Acts ch. 99, sec. 3, effective March 1, 2021.

309.085 Renewal of licenses, certificates, and registrations -- Cancellation and reinstatement.

- (1) A license, certificate, or registration issued pursuant to KRS 309.084 shall be renewed every three (3) years upon:
 - (a) Payment of the renewal fee as established pursuant to KRS 309.0813; and
 - (b) Completion of continuing education requirements, as established by the board by promulgation of an administrative regulation, not to exceed sixty (60) hours per renewal period.
- (2) The board shall cancel any license, certificate, or registration not renewed within ninety (90) days after the renewal date; however, the board may reinstate the license, certificate, or registration upon its holder paying the renewal fee and satisfying the other reinstatement requirements as established by the board by administrative regulation within one (1) year of the anniversary date of issue of renewal.

Effective: June 24, 2015

History: Amended 2015 Ky. Acts ch. 29, sec. 12, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 7, effective July 15, 1996.

309.086 Revocation, suspension, probation, or restriction of license, certificate, or registration -- Hearings -- Appeals.

- (1) The board may revoke, suspend, place on probation, or restrict the license, certificate, or registration of a licensee, certificate holder, or registrant; refuse to issue or renew a license, certificate, or registration; and reprimand, admonish, or fine a licensee, certificate holder, or registrant for the following:
 - (a) Fraud or deceit in obtaining licensure, certification, or registration;
 - (b) Transferring the authority granted by the license, certificate, or registration to another person;
 - (c) Using unfair, false, misleading, or deceptive trade practices;
 - (d) Willfully or deliberately disregarding professional standards of practice or violating the code of ethics;
 - (e) Aiding and abetting a person who obtains a license, certificate, or registration fraudulently;
 - (f) Conspiring or combining with others to obtain a license, certificate, or registration to be used by an unlicensed, uncertified, or unregistered person with the intent to evade the provisions of KRS 309.080 to 309.089 and administrative regulations promulgated pursuant to those sections;
 - (g) Negligence or incompetence in complying with the applicable code of ethics and standards of practice or failure to comply with continuing education requirements;
 - (h) Violating KRS 309.080 to 309.089 and administrative regulations promulgated pursuant to those sections; or
 - (i) Being convicted of any crime as defined in KRS 335B.010 in which an element of the crime is dishonesty or fraud, within the past three (3) years, if in accordance with KRS Chapter 335B.
- (2) The board shall, upon the request of a licensed clinical alcohol and drug counselor, certified alcohol and drug counselor, or registered alcohol and drug peer support specialist, or an applicant for licensure, certification, or registration, hold a hearing pursuant to KRS Chapter 13B before denying an application; refusing to renew a license, certificate, or registration; suspending a license, certificate, or registration; or imposing a fine. The affected party may appeal the board's decision in the Circuit Court where the licensee, certificate holder, or registrant resides. The action of the board shall remain in effect pending any appeals unless the board rescinds or modifies its order.

Effective: June 29, 2017

History: Amended 2017 Ky. Acts ch. 158, sec. 41, effective June 29, 2017. -- Amended 2015 Ky. Acts ch. 29, sec. 13, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 8, effective July 15, 1996.

309.087 Application for reinstatement of revoked license, certificate, or registration.

A person whose license, certificate, or registration has been revoked may apply for reinstatement, no earlier than one (1) year from the date of revocation, in accordance with administrative regulations promulgated by the board.

Effective: June 24, 2015

History: Amended 2015 Ky. Acts ch. 29, sec. 14, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 9, effective July 15, 1996.

309.088 Reciprocity for licensure, certification, and registration. (Effective March 1, 2021)

- (1) The board may permit an out-of-state licensed clinical alcohol and drug counselor, certified alcohol and drug counselor, certified alcohol and drug counselor associate II, certified alcohol and drug counselor associate I, or alcohol and drug peer support specialist to obtain a license, certificate, or registration by reciprocity if:
 - (a) The out-of-state licensee, certificate holder, or registrant possesses a valid license, certificate, or registration from another jurisdiction that grants the same privileges to persons licensed, certified, or registered by this state as Kentucky grants to persons licensed, certified, or registered by the other jurisdiction;
 - (b) The requirements for licensure, certification, or registration are substantially similar to the requirements in KRS 309.080 to 309.089; and
 - (c) The out-of-state licensee, certificate holder, or registrant seeking licensure, certification, or registration states that he or she has studied, is familiar with, and shall abide by KRS 309.080 to 309.089 and the administrative regulations promulgated thereunder.
- (2) If the requirements for licensure, certification, or registration under KRS 309.080 to 309.089 are more restrictive than the standards of the other jurisdiction, then the out-of-state licensee, certificate holder, or registrant shall comply with the additional requirements in KRS 309.080 to 309.089 to obtain a reciprocal license, certificate, or registration.

Effective: March 1, 2021

History: Amended 2020 Ky. Acts ch. 99, sec. 11, effective March 1, 2021. -- Created 2015 Ky. Acts ch. 29, sec. 11, effective June 24, 2015.

309.089 Additional penalties for licensees, certificate holders, or registrants.

In addition to the sanctions set out in KRS 309.086, the board may impose the following sanctions on licensees, certificate holders, or registrants who violate the provisions of KRS 309.080 to 309.089 or administrative regulations promulgated pursuant to those sections:

- (1) Suspend a license, certificate, or registration for a period of up to five (5) years; and
- (2) Impose a fine of up to one thousand dollars (\$1,000).

Effective: June 24, 2015

History: Amended 2015 Ky. Acts ch. 29, sec. 15, effective June 24, 2015. -- Created 1996 Ky. Acts ch. 96, sec. 10, effective July 15, 1996.



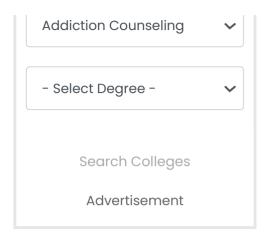
Kentucky Education Requirements & Certification for Substance Abuse Counselors



≡Table of Contents

- > Job Description
- > Types of Licensure
- > Education Requirements
- > CACREP Counseling Certification
- > Testing Process in Kentucky
- > Clinical Supervision Explained
- > Renewal and Continuing Education Required
- > Kentucky Career Options
- > Associations & Organizations





What does an Addiction Counselor in Kentucky do? What is Their Job Role?

Nationally the role of substance abuse counselor falls within the field of substance abuse, behavioral disorder, and mental health counselors and focuses primarily on addressing addiction issues. Drug and alcohol addiction are the primary behaviors treated by substance abuse counselors and because the addiction problem is growing the need for counselors is also on the rise. While the national job growth outlook is at eight percent the Bureau of Labor Statistics states the projected employment for counselors will grow 23 percent between 2016 and 2026. In Kentucky it is much higher, with a projected need for counselors at a robust 42.2 percent over the next decade.



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Senate Bill 166

Actions **↓** | Amendments **↓**

| | Actions 4 Amendments 4 |
|---|---|
| Last Action | 02/26/21: 3rd reading, passed 36-0 with Committee Substitute |
| Title | AN ACT relating to alcohol and drug counselors and declaring an emergency. |
| Bill Documents | Current 凸 Introduced 凸 |
| Bill Request Number | 1686 |
| Sponsor | J. Adams |
| Summary of Original Version | Create a new section of KRS Chapter 309 to require alcohol and drug counselors to meet requirements for licensure; amend KRS 309.080 to define licensed alcohol and drug counselor; amend KRS 309.0805, KRS 309.0813, and KRS 309.088 to add licensed alcohol and drug counselor; EMERGENCY. |
| Index Headings of Original Version | Effective Dates, Emergency - Alcohol and drug counselors, educational requirements for Licensing - Alcohol and drug counselors, requirements for Occupations and Professions - Alcohol and drug counselors, educational requirements for Substance Abuse - Alcohol and drug counselors, educational requirements for Alcoholism - Alcohol and drug counselors, educational requirements for |
| Jump to Proposed Amendments | Senate Committee Substitute 1 ◆ |
| Votes | Vote History ⚠ |

Actions

Top ♠ | Amendments ◆

| 02/04/21 | introduced in Senate to Committee on Committees (S) |
|----------|---|
| 02/09/21 | to Health & Welfare (S) |
| 02/24/21 | reported favorably, 1st reading, to Consent Calendar with Committee Substitute |
| 02/25/21 | 2nd reading, to Rules posted for passage in the Consent Orders of the Day for Friday, February 26, 2021 |
| 02/26/21 | 3rd reading, passed 36-0 with Committee Substitute |

Proposed Amendments

Top ↑ | Actions ↑

| Amendment | Senate Committee Substitute 1 🖺 |
|-------------------|---|
| Summary | Retain provisions of the bill; add requirements for certification as a certified clinical supervisor; amend KRS 309.0832 to remove supervision requirements; and amend KRS 309.080, 309.0805, 309.0813, and 309.088 to conform; EMERGENCY. |
| Index Headings | Effective Dates, Emergency - Alcohol and drug counselors, educational requirements for Licensing - Alcohol and drug counselors, requirements for Occupations and Professions - Alcohol and drug counselors, educational requirements for Substance Abuse - Alcohol and drug counselors, educational requirements for Alcoholism - Alcohol and drug counselors, educational requirements for |

Last updated: 2/26/2021 4:28 PM (EST)

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| I | AN ACT relating to alcohol and drug counselors and declaring an emergency. |
|----|---|
| 2 | Be it enacted by the General Assembly of the Commonwealth of Kentucky: |
| 3 | →SECTION 1. A NEW SECTION OF KRS 309.080 TO 309.089 IS CREATED |
| 4 | TO READ AS FOLLOWS: |
| 5 | (1) An applicant for certification as a certified clinical supervisor shall pay the board |
| 6 | the initial fee for certification, and shall: |
| 7 | (a) Hold and maintain an alcohol and drug counselor license, clinical alcohol |
| 8 | and drug counselor license, or alcohol and drug counselor certification at |
| 9 | the International Certification and Reciprocity Consortium reciprocal level; |
| 10 | (b) Meet all education, continuing education, work experience, and supervision |
| 11 | requirements of the International Certification and Reciprocity Consortium |
| 12 | for the Clinical Supervisor; |
| 13 | (c) Have passed a written examination that has been approved by the |
| 14 | International Certification and Reciprocity Consortium; and |
| 15 | (d) Have signed an agreement to abide by the standards of practice and code of |
| 16 | ethics approved by the board. |
| 17 | (2) The board shall promulgate administrative regulations establishing a time limit |
| 18 | of not less than ninety (90) days or more than one (1) year by which a person who |
| 19 | was approved by the board as a supervisor prior to the effective date of this Act is |
| 20 | required to meet the requirements for a certified clinical supervisor in subsection |
| 21 | (1) of this section. |
| 22 | (3) A certified clinical supervisor may supervise registered alcohol and drug peer |
| 23 | support specialists, licensed alcohol and drug counselors, licensed clinical |
| 24 | alcohol and drug counselors, certified alcohol and drug counselors, and persons |
| 25 | who are seeking registration or certification. |
| 26 | →SECTION 2. A NEW SECTION OF KRS 309.080 TO 309.089 IS CREATED |
| 27 | TO READ AS FOLLOWS: |

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| 1 | <u>An</u> | applicant for licensure as a licensed alcohol and drug counselor shall pay the |
|----|-------------|--|
| 2 | <u>boar</u> | d the initial fee for licensure, and shall: |
| 3 | <u>(1)</u> | Be at least eighteen (18) years of age; |
| 4 | <u>(2)</u> | Have obtained from a regionally accredited college or university, or a college or |
| 5 | | university accredited by an agency recognized by the United States Department of |
| 6 | | Education, a thirty (30) hour master's degree in a human services field with |
| 7 | | clinical application; |
| 8 | <u>(3)</u> | Meet all education, work experience, and supervision requirements of the |
| 9 | | International Certification and Reciprocity Consortium for the Alcohol and Drug |
| 10 | | Counselor, with the required supervision hours being under the direct |
| 11 | | supervision of: |
| 12 | | (a) A licensed alcohol and drug counselor who has at least two (2) years of |
| 13 | | post-licensure experience and has attended the board-sponsored supervision |
| 14 | | training; or |
| 15 | | (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) |
| 16 | | months of post-licensure experience or who has attended the board- |
| 17 | | sponsored supervision training; |
| 18 | <u>(4)</u> | Have passed a written examination that has been approved by the International |
| 19 | | Certification and Reciprocity Consortium; |
| 20 | <u>(5)</u> | Have signed an agreement to abide by the standards of practice and code of ethics |
| 21 | | approved by the board; |
| 22 | <u>(6)</u> | Have completed at least six (6) hours of ethics training; three (3) hours of |
| 23 | | domestic violence training; and two (2) hours training in the transmission, |
| 24 | | control, treatment, and prevention of the human immunodeficiency virus, in |
| 25 | | addition to the educational requirements in subsection (2) of this section; |
| 26 | <u>(7)</u> | Have submitted two (2) letters of reference from certified alcohol and drug |
| 27 | | counselors, licensed alcohol and drug counselors, or licensed clinical alcohol and |

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|--|

- 2 (8) Live or work at least a majority of the time in Kentucky; and
- 3 (9) Have complied with the requirements for the training program in suicide
- 4 assessment, treatment, and management in KRS 210.366 and any administrative
- 5 regulations promulgated thereunder.
- Section 3. KRS 309.080 (Effective March 1, 2021) is amended to read as
- 7 follows:
- 8 As used in KRS 309.080 to 309.089, unless the context otherwise requires:
- 9 (1) "Board" means the Kentucky Board of Alcohol and Drug Counselors;
- 10 (2) "Certified alcohol and drug counselor associate I" means a person certified by the
- board who meets the requirements of KRS 309.0841;
- 12 (3) "Certified alcohol and drug counselor associate II" means a person certified by the
- board who meets the requirements of KRS 309.0842;
- 14 (4) "Certified alcohol and drug counselor" means a person certified by the board who
- meets the requirements in KRS 309.083;
- 16 (5) "Certified clinical supervisor" means a person certified by the board who meets
- 17 the requirements of Section 1 of this Act;
- 18 (6) "Certificate holder" means an alcohol and drug counselor who is certified pursuant
- 19 to KRS 309.080 to 309.089;
- 20 (7)[(6)] "Licensed alcohol and drug counselor" means a person licensed by the
- 21 board who meets the requirements of Section 2 of this Act;
- 22 (8) "Licensed clinical alcohol and drug counselor" means a person licensed by the
- board who meets the requirements of KRS 309.0832;
- 24 (9)[(7)] "Licensed clinical alcohol and drug counselor associate" means a person
- licensed by the board who meets the requirements of KRS 309.0833;
- 26 (10)[(8)] "Licensee" means a clinical alcohol and drug counselor who is licensed
- 27 pursuant to KRS 309.080 to 309.089;

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| 1 (11) (9) "Practice of alcohol and drug counseling" |
|--|
|--|

- 2 Means the assessment and counseling of an individual, family, or group (a) 3 dealing with an alcohol or drug problem or addiction; and
- 4 Does not include the diagnosis or treatment of a mental health condition, or the administration or interpretation of psychological tests; 5
- (12)[(10)] "Registered alcohol and drug peer support specialist" means a person 6 7 registered by the board who meets the requirements in KRS 309.0831; and
- 8 (13)[(11)] "Registrant" means an alcohol and drug peer support specialist who is 9 registered pursuant to KRS 309.080 to 309.089.
- 10 → Section 4. KRS 309.0805 (Effective March 1, 2021) is amended to read as 11 follows:
- 12 No person shall use the title "certified clinical supervisor," "licensed alcohol and (1) 13 drug counselor," "licensed clinical alcohol and drug counselor," "licensed clinical 14 alcohol and drug counselor associate," "certified alcohol and drug counselor," "certified alcohol and drug counselor associate II," "certified alcohol and drug 15 counselor associate I," or "registered alcohol and drug peer support specialist," or 16 17 hold himself or herself out as a "licensed clinical alcohol and drug counselor," "licensed clinical alcohol and drug counselor associate," "certified alcohol and drug 18 19 counselor," or "registered alcohol and drug peer support specialist" unless he or she 20 is licensed, certified, or registered pursuant to KRS 309.080 to 309.089.
- 21 (2) Nothing in KRS 309.080 to 309.089 shall apply to persons licensed, certified, or 22 registered under any other provision of the Kentucky Revised Statutes, including 23 but not limited to physicians, social workers, psychologists, marriage and family 24 therapists, art therapists, nurses, or students in accredited training programs in those professions, and nothing in KRS 309.080 to 309.089 shall be construed to limit, 25 26 interfere with, or restrict the practice, descriptions of services, or manner in which 27 they hold themselves out to the public.

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| 1 | (3) | Nothing in KRS 309.080 to 309.089 shall be construed to alter, amend, or interfere |
|---|-----|--|
| 2 | | with the practice of those who render counseling services, including but not limited |
| 3 | | to employment counseling, job placement counseling, vocational rehabilitation |
| 4 | | counseling, pastoral counseling based on any tenet of one's religious beliefs, or |
| 5 | | school counseling. |

- 6 (4) Nothing in KRS 309.080 to 309.089 shall apply to the activities and services of a
 7 student intern or trainee who is pursuing a program of studies in alcohol and drug
 8 counseling at an accredited institution of higher education, if these activities are
 9 performed under the supervision or direction of an approved supervisor and the
 10 activities are part of the supervised program of studies.
- Section 5. KRS 309.0813 (Effective March 1, 2021) is amended to read as follows:
- 13 The board shall:
- 14 (1) Promulgate administrative regulations pursuant to KRS Chapter 13A for the administration and enforcement of KRS 309.080 to 309.089;
- 16 (2) Promulgate administrative regulations pursuant to KRS Chapter 13A establishing a
 17 code of ethics, standards of practice, and continuing education for *certified clinical*18 *supervisors*, *licensed alcohol and drug counselors*, licensed clinical alcohol and
 19 drug counselors, licensed clinical alcohol and drug counselor associates, certified
 20 alcohol and drug counselors, certified alcohol and drug counselor associates II,
 21 certified alcohol and drug counselor associates I, and registered alcohol and drug
 22 peer support specialists;
- 23 (3) Approve and disapprove, at least once every other month, those persons who shall be licensed, certified, or registered under KRS 309.080 to 309.089;
- 25 (4) Approve the examination required of applicants for licensure or certification as
 26 <u>certified clinical supervisors</u>, alcohol and drug counselors and applicants for
 27 registration as alcohol and drug peer support specialists, and promulgate

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| 1 | | administrative regulations pursuant to KRS Chapter 13A for the administration and |
|----|------|--|
| 2 | | grading of the examination; |
| 3 | (5) | Promulgate administrative regulations pursuant to KRS Chapter 13A to define the |
| 4 | | process to register with the board as a <i>certified clinical supervisor</i> , <i>licensed alcohol</i> |
| 5 | | and drug counselor, registered alcohol and drug peer support specialist, certified |
| 6 | | alcohol and drug counselor, licensed clinical alcohol and drug counselor associate, |
| 7 | | or licensed clinical alcohol and drug counselor; |
| 8 | (6) | Promulgate administrative regulations pursuant to KRS Chapter 13A establishing |
| 9 | | grounds and procedures for denying, suspending, failing to reissue, or revoking a |
| 10 | | license, certificate, or registration, and issuing reprimands and admonishments |
| 11 | | pursuant to KRS 309.080 to 309.089; |
| 12 | (7) | Hold a hearing pursuant to KRS Chapter 13B upon the request of an aggrieved |
| 13 | | licensee, licensee associate, certificate holder, or registrant, or an applicant for a |
| 14 | | license, certificate, or registration; |
| 15 | (8) | Employ needed personnel and establish their duties and compensation; |
| 16 | (9) | Maintain a register of certified clinical supervisors, licensed alcohol and drug |
| 17 | | counselors, licensed clinical alcohol and drug counselors, licensed clinical alcohol |
| 18 | | and drug counselor associates, certified alcohol and drug counselors, and registered |
| 19 | | alcohol and drug peer support specialists; |
| 20 | (10) | Keep a complete record of the board's proceedings; |
| 21 | (11) | Investigate suspected or alleged violations of KRS 309.080 to 309.089 and the |
| 22 | | administrative regulations promulgated pursuant to KRS 309.080 to 309.089; |
| 23 | (12) | Promulgate administrative regulations pursuant to KRS Chapter 13A establishing |
| 24 | | an initial licensure fee, certification fee, registration fee, and annual renewal fees |
| 25 | | not to exceed three hundred dollars (\$300) each; |
| 26 | (13) | Take legal action as necessary to restrain or enjoin violations of KRS 309.080 to |

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309.089 and the administrative regulations promulgated pursuant to KRS 309.080

| 1 | | to 309.089; |
|----|-------|---|
| 2 | (14) | Submit an annual report to the Governor and the Legislative Research Commission |
| 3 | | by January 1 of each year, which lists all hearings conducted by the board and the |
| 4 | | decisions rendered; and |
| 5 | (15) | Collect and deposit all fees, fines, and other moneys owed to the board into the |
| 6 | | State Treasury to the credit of the revolving fund established in KRS 309.082. |
| 7 | | → Section 6. KRS 309.088 (Effective March 1, 2021) is amended to read as |
| 8 | follo | ws: |
| 9 | (1) | The board may permit an out-of-state <u>certified clinical supervisor</u> , <u>licensed alcohol</u> |
| 10 | | and drug counselor, licensed clinical alcohol and drug counselor, certified alcohol |
| 11 | | and drug counselor, certified alcohol and drug counselor associate II, certified |
| 12 | | alcohol and drug counselor associate I, or alcohol and drug peer support specialist |
| 13 | | to obtain a license, certificate, or registration by reciprocity if: |
| 14 | | (a) The out-of-state licensee, certificate holder, or registrant possesses a valid |
| 15 | | license, certificate, or registration from another jurisdiction that grants the |
| 16 | | same privileges to persons licensed, certified, or registered by this state as |
| 17 | | Kentucky grants to persons licensed, certified, or registered by the other |
| 18 | | jurisdiction; |
| 19 | | (b) The requirements for licensure, certification, or registration are substantially |
| 20 | | similar to the requirements in KRS 309.080 to 309.089; and |
| 21 | | (c) The out-of-state licensee, certificate holder, or registrant seeking licensure, |
| 22 | | certification, or registration states that he or she has studied, is familiar with, |
| 23 | | and shall abide by KRS 309.080 to 309.089 and the administrative regulations |
| 24 | | promulgated thereunder. |
| 25 | (2) | If the requirements for licensure, certification, or registration under KRS 309.080 to |
| 26 | | 309.089 are more restrictive than the standards of the other jurisdiction, then the |

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out-of-state licensee, certificate holder, or registrant shall comply with the

1 additional requirements in KRS 309.080 to 309.089 to obtain a reciprocal license,

- 2 certificate, or registration.
- 3 → Section 7. KRS 309.0832 (Effective March 1, 2021) is amended to read as
- 4 follows:
- 5 An applicant for licensure as a licensed clinical alcohol and drug counselor shall pay the
- 6 board the initial fee for licensure, and shall:
- 7 (1) Be at least eighteen (18) years of age;
- 8 (2) Have obtained from a regionally accredited college or university or a college or
- 9 university accredited by an agency recognized by the United States Department of
- 10 Education:
- 11 (a) A sixty (60) hour master's degree in a behavioral science with clinical
- 12 application;
- 13 (b) A thirty (30) hour advanced placement master's degree in a behavioral science
- with clinical application; or
- 15 (c) A doctoral degree in a behavioral science with clinical application;
- 16 (3) Meet all education and supervision requirements of the International Certification
- and Reciprocity Consortium for the Advanced Alcohol and Drug Counselor
- 18 (AADC);
- 19 (4) [Have completed at least one hundred eighty (180) classroom hours of alcohol and
- 20 drug counselor specific board-approved curriculum;
- 21 (5) Have passed a written examination as specified by the board in administrative
- 22 regulation;
- 23 (5)(6)(6)(6) Have signed an agreement to abide by the standards of practice and code of
- ethics approved by the board;
- 25 (6)[(7)] Have completed at least six (6) hours of ethics training; three (3) hours of
- domestic violence training; and two (2) hours training in the transmission, control,
- treatment, and prevention of the human immunodeficiency virus, in addition to the

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| 1 | educational requirements in subsection (2) of this section; | | |
|----|--|--|--|
| 2 | (7)[(8)] Have submitted two (2) letters of reference from certified alcohol and drug | | |
| 3 | counselors or licensed clinical alcohol and drug counselors; | | |
| 4 | (8)[(9)] Live or work at least a majority of the time in Kentucky; | | |
| 5 | <u>and</u> | | |
| 6 | (9)[(10)] Have complied with the requirements for the training program in suicide | | |
| 7 | assessment, treatment, and management in KRS 210.366 and any administrative | | |
| 8 | regulations promulgated thereunder[; and | | |
| 9 | (11) Have completed two thousand (2,000) hours of board approved experience working | | |
| 10 | with persons having a substance use disorder, three hundred (300) hours of which | | |
| 11 | shall have been under the direct supervision of a licensed clinical alcohol and drug | | |
| 12 | counselor]. | | |
| 13 | → Section 8. KRS 309.0833 (Effective March 1, 2021) is amended to read as | | |
| 14 | follows: | | |
| 15 | (1) An applicant for licensure as a licensed clinical alcohol and drug counselor | | |
| 16 | associate shall: | | |
| 17 | (a) Pay the board the initial fee for licensure; | | |
| 18 | (b) Complete the requirements under KRS 309.0832(1) to <u>(9)</u> [(10)]; and | | |
| 19 | (c) Obtain a board-approved supervisor of record. | | |
| 20 | (2) Upon completion of the hours of board-approved experience[specified in KRS | | |
| 21 | 309.0832(11)], a licensed clinical alcohol and drug counselor associate may apply | | |
| 22 | to the board for licensure as a licensed clinical alcohol and drug counselor. | | |
| 23 | → Section 9. Whereas there is a shortage of counselors who are qualified and | | |
| 24 | available to treat individuals during the current substance use disorder crisis and it is or | | |
| 25 | the utmost importance that all qualified counselors be able to provide treatment, ar | | |
| 26 | emergency is declared to exist and this Act takes effect March 1, 2021 if passed and | | |

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approved by the Governor or upon its passage and approval by the Governor or upon its

27

1 otherwise becoming a law.